ETL-0270



Scattering from a vegetation layer with an irregular vegetation soil boundary

Richard A. Hevenor

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OCTOBER 1981



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UNCLASSIF/ED
SECURITY CLASSIFICATION OF THIS PAGE (When Date Entered)

REPORT DOCUMENTATION PAGE	READ INSTRUCTIONS BEFORE COMPLETING FORM	
	3. REGISTRY'S CATALOG NUMBER	
ETL - 0270 RU-RIO	S. TYPE OF REPORT & PERIOD COVERED	
SCATTERING FROM A VEGETATION LAYER WITH	Technical Report	
AN IRREGULAR VEGETATION SOIL BOUNDARY	June 1979 - June 1980	
7. AUTHOR(e)	8. CONTRACT OR GRANT NUMBER(+)	
Richard A. Hevenor		
PERFORMING ORGANIZATION NAME AND ADDRESS	10. PROGRAM ELEMENT, PROJECT, TASK AREA & WORK UNIT NUMBERS	
U.S. Army Engineer Topographic Laboratories	AREA & WORK UNIT NUMBERS	
Fort Belvoir, Virginia 22060	4A161102B52C	
1. CONTROLLING OFFICE NAME AND ADDRESS	12. REPORT DATE	
U.S. Army Engineer Topographic Laboratories	October 1981	
Fort Belvoir, Virginia 22060	13. NUMBER OF PAGES	
14. MONITORING AGENCY NAME & ADDRESS(If different from Controlling Office)	15. SECURITY CLASS. (of this report)	
	Unclassified	
•	154, DECLASSIFICATION/DOWNGRADING	
6. DISTRIBUTION STATEMENT (of this Report)		
Approved for Public Release; Distribution Unlimited.	1	
	1	
17. DISTRIBUTION STATEMENT (of the abetract entered in Block 20, if different fro	om Report)	
IS. SUPPLEMENTARY HOTES	1	
	1	
9. KEY WORDS (Continue on reverse elde if necessary and identify by block number	,	
Electromagnetic Waves	į	
Vegetation Scattering	1	
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IO. MOSTRACT (Continue on reverse olds If reseasons and identify by block number)		
A theoretical model is computed for the backscattering of of vegetation by using a first-order renormalization technique.		
vegetation soil interface is assumed rough according to the		
scattering from this boundary is added incoherently to the volume scattering result. The mean		
wave in the vegetation is obtained using a bilocal approximation of the Dyson's equation. A free		
space dyadic Green's function is used, along with a correlations that are exponential in form and that also possess diff		
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in the x, y, and z, directions. Effective propagation constants are obtained for both horizontal and vertical polarization. The scattered wave is solved for by using a two-dimensional Fourier transform technique, and the boundary conditions at either end of the vegetation layer are matched. The far field backscatter coefficients are computed for both horizontal and vertical polarizations. The mean and variance of the dielectric fluctuations are calculated with the aid of Peake's model for the dielectric constant of vegetation. The theory is matched to experimental data taken from a corn field. The resulting values for the correlation parameters are then used to monitor the growth pattern of the corn field over a period of time. Comparisons between the theoretical and experimental results over this time period are shown. The theory is also matched to experimental data from spring and fall deciduous trees.

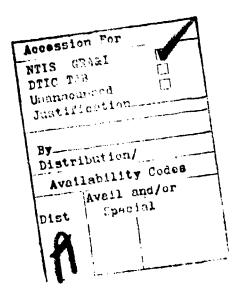
SECURITY CLASSIFICATION OF THIS PAGE(When Data Entered)

The authority for performing the work described in this report is con-PREFACE tained in Project 4A161102B52C, "Research in Geodetic, Cartographic, and Geographic Sciences."

The theory described in the result of in-house work and represents an application of the renormalization technique for studying scattering from certain types of vegetation. A solution for the mean wave is obtained by using the bilocal approximation of the Dyson's equation. A Fourier transform of the dyadic Green's function is used to compute a solution utilizing an anisotropic correlation function for the random dielectric fluctuations. The scattered waves are computed from the mean wave and finally the radar backscatter coefficient is calculated. The influence of a rough surface under the vegetation is considered by using a noncoherent technique.

This task was performed under the supervision of Dr. Frederick Rohde, Team Leader, Center for Theoretical and Applied Physical Sciences; Mr. Melvin Crowell, Jr., Director, Research Institute.

COL Daniel L. Lycan, CE and COL Edward K. Wintz, CE were Commanders and Directors and Mr. Robert P. Macchia was Technical Director of the Engineer Topographic Laboratories during the study period.



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SCATTERING FROM A VEGETATION LAYER WITH AN IRREGULAR VEGETATION SOIL BOUNDARY

INTRODUCTION

This research report presents a theory for analyzing the nature PURPOSE of radar wave scattering from certain types of vegetation. The vegetation is simulated by a continuous random medium, and use is made of a first-order renormalization technique to calculate the radar backscatter coefficient. The influence of an irregular vegetation soil interface has also been considered, using a noncoherent approach.

In a previous report, a derivation was presented of the radar back-BACKGROUND scatter coefficient from a half space of random medium using a first-order renormalization solution for the scattered wave and an isotropic correlation function for the random dielectric fluctuations. Recently, Fung solved the problem of scattering from a vegetation layer by using a scalar first-order renormalization approach. In his solution, however, he did not consider the existance of a rough vegetation soil boundary. He did consider an anisotropic correlation function in which the horizontal variation is different from the vertical.

Tsang and Kong solved the problem of volume scattering from a half space random medium that contains lateral and vertical fluctuations.³ A radiative transfer approach was used to calculate the backscattering cross sections up to secon. order in approximation. This enabled the cross polarized terms to be obtained.

There are two important practical applications for developing and analyzing various radar scattering theories. The first application is radar image simulation of terrain features. In this problem, the radar system parameters and terrain parameters are known and used to calculate a radar response in the form of a gray tone or density.



¹R.A. Hevenor, Backscattering of Radar Laves By Vegetated Terrain, U.S. Almy Engineer Topographic Laboratories, Fort Belvoir, VA. ETL-0105, June 1977, AD-A047 669.

²A.K. Fung, "Scattering From a Vegetation Layer," *IEEE Transactions on Geoscience Electronics*, Vol. GE-17, No. 1, January 1979.

³L. Tsang and J.A. Kong, "Radiative Transfer Theory for Active Remote Sensing of Half Space Random Media," Radio Science, Vol. 13, No. 5, September—October 1978.

The scattering theories can be used to compute the radar backscatter coefficient, which in turn is used to calculate gray tone. Using scattering theories in this type of application is straightforward, even though a solution for any one particular scattering problem may be extremely complicated.

The second application is in the field of remote sensing of terrain in which the sensor responses must be used to determine various terrain parameters. Using scattering theories for this application is not straightforward.

However, there are two important uses of scattering theories that bear directly on remote sensing. The first use is a parameter sensitivity study. The theory can be used to analyze the influence of various vegetation, terrain, and radar parameters upon the sensor response. Such parameters as surface roughness, soil moisture, vegetation height, and density could be varied one at a time to determine the influence on the sensor response. This type of analysis should lead to determining what radar parameters are most sensitive to certain terrain parameter changes. This type of analysis assumes the existance of scattering theories that have been developed and compared with existing experimental data.

The second use is to analyze the radar response for two different types of terrain features to see if the two features could be distinguished from each other on an image. Once again, this would assume the existence of scattering theories that have been developed and tested against experimental data. These applications provide the incentive for developing, analyzing, and testing various scattering theories.

In this report, the geometry of the scattering problem to be solved and the basic technique used for the solution will be discussed. In the analysis section, the derivation of the necessary equations will be provided. In the results section, the resulting theory will be compared with existing experimental data, and a study on the sensitivity of the input parameters will be provided.

In this report, the rationalized MKS system of units is used. A line under a symbol will be used to represent a vector quantity. A double line under a symbol will be used to represent a dyadic. A list of the most important symbols is provided at the end of this report.

In figure 1, the scattering geometry of the vegetation problem is shown. A plane wave with a time harmonic of $\exp(j\omega t)$ is incident from free space at an angle θ_i onto a layer of vegetation. The mean thickness of the vegetation is L. The vegetation soil boundary is considered to be randomly rough according to the tangent plane approximation. The vegetation is simulated by a continuous random medium in which $\epsilon(L)$ and $\sigma(L)$ represent the three-dimensional random dielectric and conductivity fluctuations, respectively. These fluctuations consist of the sum of an average and a fluctuating component. The standard deviations of the fluctuations are represented by η_1 and η_2 . The angle of refraction of the mean wave in the random medium is θ_e .

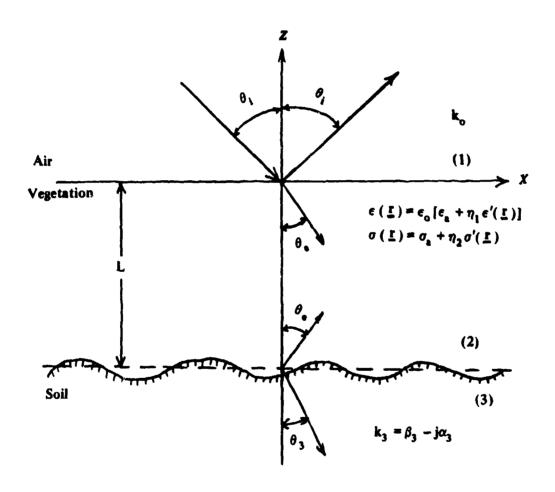


FIGURE 1. Scattering Geometry.

The soil below the vegetation represented by medium 3 is assumed homogeneous with a complex propagation constant k_3 . The magnetic permeability for all three media is assumed to be that of free space. The electric field (\underline{E}_i) incident onto the vegetation layer can be written as follows:

$$\underline{E}_{i} = \left\{ a_{1}\underline{a}_{x} + a_{2}\underline{a}_{y} + a_{3}\underline{a}_{z} \right\} e^{-jk_{0}} (x\sin\theta_{i}-z\cos\theta_{i})$$

where $\frac{a}{2}_{x}$, $\frac{a}{2}_{y}$, and $\frac{a}{2}_{z}$ are unit vectors in the x, y, and z directions, respectively. The constants a_{1} , a_{2} , and a_{3} are arbitrary, allowing for the consideration of both horizontal and vertical polarizations. A first-order renormalization method will be used to calculate the mean and scattered waves in the random medium. A solution will be developed first for the case where the vegetation soil boundary is a plane interface. The irregular boundary will be considered in a noncoherent manner afterwards. The dielectric and conductivity fluctuation terms ($\epsilon'(\underline{I})$ and $\sigma'(\underline{I})$ are considered as being generated by statistically homogeneous random processes. The means and correlation functions of the random processes are defined as follows:

$$\langle \epsilon'(\underline{\mathbf{r}}) \rangle = \langle \sigma'(\underline{\mathbf{r}}) \rangle = 0$$

$$<\epsilon'(\underline{r})\epsilon'(\underline{r}')> = <\sigma'(\underline{r})\sigma'(\underline{r}')> = e^{-|x-x'|/2x}e^{-|y-y'|/2y}e^{-|x-x'|/2x}$$

where ℓ_x , ℓ_y , and ℓ_z are the correlation distances in the x, y, and z directions, respectively. The correlation functions have been chosen to be anisotropic. This representation, with unequal correlation distances, is believed to be closer to reality than an isotropic correlation function. This is because the size of vegetation scatterers in a horizontal plane is not the same as the size of the scatterers in a vertical plane. The mean wave in the random medium is determined from the bilocal approximation of the Dyson's equation:

$$[\nabla_X \nabla_X - k_0^2] < \underline{E}(\underline{r}) > - \int_{V'} < \xi(\underline{r}) \xi(\underline{r}') > < \underline{E}(\underline{r}') > \cdot \underline{\Gamma}(\underline{r},\underline{r}') \, \underline{dr}' = 0$$

where $\langle E(I) \rangle$ is the mean wave in the random medium.

$$\xi(\mathbf{I}) = -j\omega\mu_0\eta_2\sigma'(\mathbf{I}) + \omega^2\mu_0\epsilon_0\eta_1\epsilon'(\mathbf{I}).$$

$$k_a^2 = -j\omega\mu_0\sigma_a + \omega^2\mu_0\epsilon_0\epsilon_a.$$

$$\underline{\Gamma}(\mathbf{I},\mathbf{I}') \text{ is the dyadic Green's function.}$$

V' is the volume of the random medium.

In the next section, plane wave solutions will be sought to the Dyson equation using an infinite space dyadic Green's function. It should be noted that the mean wave is located in the integrand, making any solution very difficult. Once the mean wave has been calculated and the apprepriate boundary conditions have been matched for the mean waves in all three media, then the scattered wave in the vegetation layer can be calculated from the following equation:

$$[\nabla x \nabla x - k_a^2] \underline{E}_a(\underline{\tau}) = \xi(\underline{\tau}) < \underline{E}(\underline{\tau}) >$$

where E (r) is the scattered wave.

The mean wave acts as a source term for the scattered wave, which will be computed using a Fourier transform technique. This in turn will enable the scattered waves in air to be determined. The necessary boundary conditions will be matched, and the backscatter coefficient will be calculated for horizontal and vertical polarizations. The influence of the rough boundary between the vegetation and the soil will be considered apart from the volume scattering solution, using the tangent plane method. The backscatter coefficient for rough surface scattering will be modified by the attenuation through the vegetation. This result will then be added to the volume scattering solution to obtain a final answer for the backscatter coefficient. An elementary permittivity model will be developed that will relate certain parameters of the random media to the parameters of actual vegetation. A discussion of results section will follow in which the theoretical results are compared with actual experimental data. Also, a parameter sensitivity study will be conducted on the theory to determine the influence of various parameter changes upon the final result.

ANALYSIS

In this section, the necessary mathematical derivations will be provided to enable a scattering model for vegetation to be obtained. First, a solution for the mean wave will be presented and then the scattered wave will be palculated.

MEAN WAVE SOLUTION

The first step in obtaining a solution to the Dyson equation is to write the dyadic Green's function:

$$\underline{\Gamma}(\mathbf{I}, \mathbf{I}') = \frac{\nabla \nabla'}{k_a^2} - \underline{\mathbf{I}} \quad G_o(\mathbf{I}, \mathbf{I}')$$
 (1)

where $\underline{\underline{I}}$ is the unit dyadic, and G_0 ($\underline{I},\underline{\underline{r}}'$) is the scalar Green's function that satisfies the following equation:

$$(\nabla^2 + k_a^2) G_0(\underline{r},\underline{r}') = \delta(\underline{r}-\underline{r}')$$
 (2)

The solution for equation (2) is usually expressed in terms of $R = |\mathbf{I} - \mathbf{I}'|$ However, this particular form is not useful when working with an anisotropic correlation function that is expressed in rectangular coordinates. We shall therefore seek a solution to (2) that uses rectangular coordinates. Let $G_o(\mathbf{I}, \mathbf{I}')$ take the following form:

$$G_{o}(\underline{\mathbf{r}},\underline{\mathbf{r}}') = \frac{1}{(2\pi)^{3}} \int \underline{dk} \, \widetilde{G}_{o}(\underline{\mathbf{k}}) \, e^{\underline{i}\underline{\mathbf{k}} \cdot (\underline{\mathbf{r}} - \underline{\mathbf{r}}')}$$
 (3)

where

$$\underline{\mathbf{k}} = \mathbf{k}_{\mathbf{x}} \underline{\mathbf{a}}_{\mathbf{x}} + \mathbf{k}_{\mathbf{y}} \underline{\mathbf{a}}_{\mathbf{y}} + \mathbf{k}_{\mathbf{z}} \underline{\mathbf{a}}_{\mathbf{z}}$$

Substituting (3) into (2) results in a solution for \widetilde{G}_{o} (\underline{k}).

$$\overline{G}_{0}(\underline{k}) = \frac{1}{k_{a}^{2} - k_{x}^{2} - k_{y}^{2} - k_{z}^{2}}$$
(4)

When (4) is placed in (3) and integration is performed in the complex k_z plane, $G_0(\underline{r},\underline{r}')$ becomes

$$G_{o}(\underline{r},\underline{r}') = \frac{j}{8\pi^{2}} \int_{-\infty}^{\infty} dk_{x} \int_{-\infty}^{\infty} dk_{y}$$

$$\frac{\exp[j\{k_{x}(x-x')+k_{y}(y-y')-k'_{z}|z-z'|\}\}]}{k'_{z}}$$

$$(5)$$

$$k'_{z} = \sqrt{k_{z}^{2}-k_{y}^{2}-k_{y}^{2}}$$

Transforming (5) into polar form results in

$$G_{o}(\underline{r},\underline{r'}) = \frac{j}{8\pi^{2}} \int_{0}^{\pi} dk \int_{0}^{2\pi} d\theta$$

$$\cdot k \frac{\exp[j\{k(x-x')\cos\theta + k(y-y')\sin\theta - \sqrt{k_{a}^{2}-k^{2}} |z-z'|\}]}{\sqrt{k_{a}^{2}-k^{2}}}$$

$$k = \sqrt{k_{x}^{2}+k_{y}^{2}} \quad k_{x} = k\cos\theta \quad k_{y} = k\sin\theta$$
(6)

When (6) is used in (1), the dyadic Green's function becomes

$$\underline{\underline{\Gamma}}(\underline{\mathbf{r}},\underline{\mathbf{r}}') = \frac{j}{8\pi^2} \int_0^{\infty} d\mathbf{k} \int_0^{2\pi} d\theta \frac{\mathbf{k}}{\sqrt{\mathbf{k}_a^2 - \mathbf{k}^2}} \{\underline{\mathbf{C}}(\mathbf{k},\theta)\underline{\mathbf{B}}(\mathbf{k},\theta) / \mathbf{k}_a^2 - \underline{\underline{\mathbf{I}}}\}$$

$$\cdot \exp\{j\{\mathbf{k}(\mathbf{x} - \mathbf{x}')\cos\theta + \mathbf{k}(\mathbf{y} - \mathbf{y}')\sin\theta - \sqrt{\mathbf{k}_a^2 - \mathbf{k}^2} |\mathbf{z} - \mathbf{z}'|\}\}$$
(7)

where

$$\underline{C}(k,\theta) = \underline{a}_{x}(jk\cos\theta) + \underline{a}_{y}(jk\sin\theta) + \underline{a}_{z}f_{2}(k)$$

$$\underline{B}(k,\theta) = \underline{a}_{x}(-jk\cos\theta) + \underline{a}_{y}(-jk\sin\theta) + \underline{a}_{z}f_{1}(k)$$

$$f_1(k) = \begin{cases} j\sqrt{k_a^2 - k^2} & \text{when } z > z' \\ -j\sqrt{k_a^2 - k^2} & \text{when } z < z' \end{cases}$$

and

$$f_2(k) = \begin{cases} -j \sqrt{k_a^2 - k^2} & \text{when } z > z' \\ j \sqrt{k_a^2 - k^2} & \text{when } z < z' \end{cases}$$

Plane wave solutions to the Dyson equation take the following form:

$$\langle \underline{E}(\underline{t}) \rangle = \underline{A}e^{-j\underline{k}}e^{-t}$$

The vector \underline{A} can be obtained by matching boundary conditions. The mean wave is seen to propagate with an effective propagation constant \underline{k}_e , which must be determined. When the above equation is placed in the Dyson equation along with the dyadic Green's function given by (7), the following equation is obtain when the cross correlation terms between the dielectric and conductivity are ignored:

$$[\nabla x \nabla x - k_0^2 \hat{\underline{\epsilon}}] \underline{A} e^{-j\underline{k}_0 \cdot \underline{r}} = 0$$
 (8)

$$\underline{\hat{\epsilon}} = \frac{k_a^2}{k_o^2} \underline{I} - \frac{1}{(2\pi)^3} \underline{\underline{M}}$$
 (9)

$$\underline{\underline{M}} = j\pi(\eta^{2}\eta_{2}^{2} - k_{o}^{2}\eta_{1}^{2}) \int \underline{d}\mathbf{r}' \int_{o}^{\infty} d\mathbf{k} \int_{o}^{\pi} d\theta$$

$$\cdot d(\mathbf{k})e^{-|\mathbf{x} - \mathbf{x}'|/\ell_{\mathbf{x}}} e^{-|\mathbf{y} - \mathbf{y}'|/\ell_{\mathbf{y}}} e^{-|\mathbf{z} - \mathbf{z}'|/\ell_{\mathbf{z}}}$$

$$\cdot e^{+j\underline{\mathbf{k}}} e^{\cdot(\underline{\mathbf{r}} - \underline{\mathbf{r}}')} \left[\underline{\mathbf{C}}(\mathbf{k}, \theta) \underline{\mathbf{B}}(\mathbf{k}, \theta) / k_{\mathbf{a}}^{2} - \underline{\mathbf{I}} \right] \exp \left[j \left\{ \mathbf{k}(\mathbf{x} - \mathbf{x}') \cos\theta + \mathbf{k}(\mathbf{y} - \mathbf{y}') \sin\theta - \sqrt{k_{a}^{2} - \mathbf{k}^{2}} |\mathbf{z} - \mathbf{z}'| \right\} \right] \tag{10}$$

$$d(k) = k / \sqrt{k_a^2 - k^2}$$

$$\eta = \sqrt{\mu_0 / \epsilon_0}$$

The integral in $\underline{\Gamma}'$ is allowed to be over all space. For the case where ℓ_z is very small this should be a good approximation except for the points extremely close to either boundary. By carrying out the integration in $\underline{\Gamma}'$, one obtains a result for M_{ij} that represents the ij th element of the dyadic \underline{M} .

$$M_{ij} = 4K \ell_{x} \ell_{y} \ell_{z} \int_{0}^{\pi} dk \int_{0}^{2\pi} d\theta d(k) \{ g(k) \}$$

$$\cdot [F_{1}(k, \theta) F_{1j}(k, \theta) + k_{a}^{2} \delta_{ij}] + h(k) [F_{2i}(k, \theta) F_{2j}(k, \theta)$$

$$+ k_{a}^{2} \delta_{ij}] \} / \{ 1 + \ell_{x}^{2} (k_{ex} + k \cos \theta)^{2}]$$

$$\cdot [1 + \ell_{y}^{2} (k_{ey} + k \sin \theta)^{2}] \}$$
(11)

where

$$g(k) = \frac{-1}{1 + j\ell_z(k_{ez} + \sqrt{k_a^2 - k^2})} \qquad h(k) = \frac{1}{j\ell_z(k_{ez} - \sqrt{k_a^2 - k^2}) - 1}$$

$$F_{11}(k, \theta) = jk\cos\theta \qquad F_{12}(k, \theta) = jk\sin\theta \qquad F_{13}(k, \theta) = j\sqrt{k_a^2 - k^2}$$

$$F_{21}(k, \theta) = jk\cos\theta \qquad F_{22}(k, \theta) = jk\sin\theta \qquad F_{23}(k, \theta) = -j\sqrt{k_a^2 - k^2}$$

$$\delta_{ij} = 1 \quad \text{when } i = j$$

$$\delta_{ij} = 0 \quad \text{when } i \neq j$$

$$K = j\pi(\eta^2\eta_2^2 - k_o^2\eta_1^2) / k_a^2$$

The form of the incident wave dictates that $k_{ay} = 0$ and $k_{ex} = k_o \sin \theta_i$. This result makes the following elements of the $\hat{\epsilon}$ dielectric tensor become equal to zero:

$$\hat{\epsilon}_{12} = \hat{\epsilon}_{21} = \hat{\epsilon}_{23} = \hat{\epsilon}_{32} = 0$$

The above result is easily shown by considering a transformation of (11) back to rectangular coordinates and recognizing that the integrand is even in k_y . Carrying out the indicated differentiation in equation (8) and writing the result in matrix form produces

$$\begin{bmatrix} k_{ez}^2 - k_o^2 \hat{\epsilon}_{11} & 0 & -k_{ez} k_o \sin\theta_i - k_o^2 \hat{\epsilon}_{13} \\ 0 & k_{ez}^2 + k_o^2 \sin\theta_i - k_o^2 \hat{\epsilon}_{22} & 0 \\ k_{ez} k_o \sin\theta_i - k_o^2 \hat{\epsilon}_{13} & 0 & k_o^2 \sin^2\theta_i - k_o^2 \hat{\epsilon}_{33} \end{bmatrix} \begin{bmatrix} A_x e^{-j\underline{k}} e^{-x} \\ A_y e^{-j\underline{k}} e^{-x} \end{bmatrix} = 0$$
(12)

In forming the above matrix, use has been made of the fact that $k_{ey} = 0$ and $k_{ex} = k_0 \sin \theta_i$. Now, only solutions for k_{ez} are needed. Two solutions can be developed for k_{ez} , one for a horizontally polarized wave and one for a vertically polarized wave. For a horizontally polarized wave, one has $A_x = A_z = 0$ and $A_y \neq 0$. The following equation can be used to determine k_{ez} for this case:

$$\left\{k_{ez}^{2} + k_{o}^{2} \sin^{2} \theta_{i} - k_{o}^{2} \hat{\epsilon}_{22}\right\} A_{v} e^{-jk \cdot v} = 0$$
 (13)

Since the term outside the brackets is not zero, this means that the quantity inside the brackets must be zero.

$$k_{ez} = \pm k_o \sqrt{\sin^2 \theta_i - \hat{\epsilon}_{22}}$$
 (14)

The above result is not an explicit solution for k_{ez} since this quantity also appears in the integral of M_{22} . A first approximation for k_{ez} can be obtained by letting $\eta_1 = \eta_2 = 0$. This represents the case where there are no random fluctuations at all.

$$k_{ez}^{(0)} = -\sqrt{k_a^2 - k_o^2 \sin^2 \theta_i}$$

The above value can be used to compute M_{22} , which in turn can be used to calculate a new value of k_{ez} that will be called k_h . The minus sign on the square root is chosen over the plus sign to consider waves propagating in the minus z direction.

$$k_{h} = -k_{o} \sqrt{\sin^{2} \theta_{i} - \hat{\epsilon}_{22}}$$
 (15)

When computing k_h in (15), the expression for $k_{ez}^{(0)}$ is used to calculate M_{22} . It is interesting to see that even when k_a is real, k_h still comes out complex so that the mean wave decays as it propagates into the medium. This decay has been explained as resulting from multiple scattering. It is not clear, however, how much multiple scattering is being considered. For a vertical polarized wave, $A_x \neq 0$, $A_v = 0$, and $A_z \neq 0$. This leads to the following determinant:

$$k_{ez}^{2} - k_{o}^{2} \hat{\epsilon}_{11} \qquad -k_{ez} k_{o} \sin \theta_{i} - k_{o}^{2} \hat{\epsilon}_{13}$$

$$-k_{o} k_{ez} \sin \theta_{i} - k_{o}^{2} \hat{\epsilon}_{13} \qquad k_{o}^{2} (\sin^{2} \theta_{i} - \hat{\epsilon}_{33})$$

$$= 0$$

The above determinant provides another solution for k...

$$k_{ez} = k_o \left\{ \frac{-\hat{\epsilon}_{13} \sin\theta_i \pm \sqrt{\hat{\epsilon}_{13}^2 \sin^2\theta_i - \hat{\epsilon}_{33}(\hat{\epsilon}_{11} \sin^2\theta_i - \hat{\epsilon}_{11}\hat{\epsilon}_{33} + \hat{\epsilon}_{13}^2)}{\epsilon_{33}} \right\}$$
(16)

Once again a first approximation for $k_{\rm ez}$ can be obtained for the case where the random dielectric and conductivity fluctuations disppear $(\eta_1 = \eta_2 = 0)$.

$$k_{ez}^{(0)} = -\sqrt{k_a^2 - k_o^2 \sin^2 \theta_i}$$

This value for k_{ez} can be used to calculate the elements of the dielectric tensor. These elements are used in (16) to compute a new value for kez, which will be called k_v.

$$k_{v} = -k_{o} \left\{ \frac{\hat{\epsilon}_{13} \sin \theta_{i} + \sqrt{\hat{\epsilon}_{13}^{2} \sin^{2} \theta_{i} - \hat{\epsilon}_{33} (\hat{\epsilon}_{11} \sin^{2} \theta_{i} - \hat{\epsilon}_{11} \hat{\epsilon}_{33} + \hat{\epsilon}_{13}^{2})}{\hat{\epsilon}_{33}} \right\}$$









The sign associated with the square root has been chosen as minus in order to consider waves propagating in the minus z direction. The effective propagation constant for the mean wave has been determined, and now the amplitude must be calculated by matching appropriate boundary conditions. The total mean electric field in air can be written as:

$$\underline{E}_{1}(r) = \left\{ \left[a_{1} \underline{a}_{x} + a_{2} \underline{a}_{y} + a_{3} \underline{a}_{z} \right] e^{jk_{0}z\cos\theta_{i}} + \left[R_{1} \underline{a}_{x} + R_{2} \underline{a}_{y} + R_{3} \underline{a}_{z} \right] e^{jk_{0}z\cos\theta_{i}} \right\} e^{-jk_{0}x\sin\theta_{i}}$$

$$z > 0 \qquad (17)$$

The first bracketed term in (17) is the incident wave, and the second bracketed term is the reflected wave. The unknowns in (17) are represented by R_1 , R_2 , and R_3 . However, it will not be necessary to obtain an explicit solution for them since they are not needed in determining the scattered waves. The total mean electric field in the vegetation $(E_2(r))$ can be written in the following form, using previous results:

$$\underline{E}_{2}(r) = \left\{ T_{2}\underline{a}_{y} e^{p_{1}z} e^{jq_{1}z} + (T_{1}\underline{a}_{x} + T_{3}\underline{a}_{z}) e^{p_{2}z} e^{jq_{2}z} + V_{2}\underline{a}_{y} e^{-p_{2}z} e^{-jq_{2}z} + (V_{1}\underline{a}_{x} + V_{3}\underline{a}_{x}) e^{-p_{2}z} e^{-jq_{2}z} \right\} e^{-jk} e^{x \sin \theta} i$$

$$-L < z < 0 \qquad (18)$$

where

$$p_1 + jq_1 = -jk_h$$

 $p_1 = Im(k_h)$ $q_1 = -Re(k_h)$

also

$$p_2 + jq_2 = -jk_v$$

 $p_2 = Im(k_v)$ $q_2 = -Re(k_v)$

In (18), both upward and downward waves have been considered. The unknowns are represented by the amplitudes T_1 , T_2 , T_3 , V_1 , V_2 , and V_3 . An explicit solution for each of these is required in terms of propagation constants, medium characteristics, and layer thickness. The explicit solution is needed to compute the scattered waves. An expression for the mean wave in the homogeneous soil medium can be written as

$$\underline{E}_{3}(\underline{r}) = [W_{1}^{\underline{a}}_{x} + W_{2}^{\underline{a}}_{y} + W_{3}^{\underline{a}}_{z}] \hat{e}^{jk_{0}x\sin\theta_{i}} e^{jk_{3}z\cos\theta_{3}}$$

$$z < -L \quad (19)$$

In the soil, the mean wave propagates in the minus z direction and the constants W_1 , W_2 , and W_3 are the unknown amplitudes. Once again, no explicit solution will be required for these amplitudes since they are not needed to compute the scattered waves. To compute the six amplitudes of the mean wave in the vegetation, the following boundary conditions are used:

$$E_{1x} = E_{2x} \text{ at } z = 0$$

$$E_{1y} = E_{2y} \text{ at } z = 0$$

$$\frac{\partial E_{1z}}{\partial y} - \frac{\partial E_{1y}}{\partial z} = \frac{\partial E_{2z}}{\partial y} - \frac{\partial E_{2y}}{\partial z} \text{ at } z = 0$$

$$\frac{\partial E_{1x}}{\partial z} - \frac{\partial E_{1z}}{\partial x} = \frac{\partial E_{2x}}{\partial z} - \frac{\partial E_{2z}}{\partial x} \text{ at } z = 0$$

$$E_{2x} = E_{3x} \text{ at } z = -L$$

$$E_{2y} = E_{3y} \text{ at } z = -L$$

$$\frac{\partial E_{2z}}{\partial y} - \frac{\partial E_{2y}}{\partial z} = \frac{\partial E_{3z}}{\partial y} - \frac{\partial E_{3y}}{\partial z} \text{ at } z = -L$$

$$\frac{\partial E_{2x}}{\partial z} - \frac{\partial F_{2z}}{\partial x} = \frac{\partial E_{3x}}{\partial z} - \frac{\partial E_{3z}}{\partial x} \text{ at } z = -L$$

$$D_{1z} = D_{2z} \text{ at } z = 0$$

$$D_{2z} = D_{3z} \text{ at } z = -L$$

$$\underline{D}_{1} = \epsilon_{0} \underline{E}_{1}$$

$$\underline{D}_{2} = \epsilon_{v} E_{2x} \underline{a}_{x} + \epsilon_{h} E_{2y} \underline{a}_{y} + \epsilon_{v} E_{2z} \underline{a}_{z}$$

where

$$\epsilon_{\rm h} = \frac{q_1^2 - p_1^2}{\omega^2 \mu_{\rm o}}$$
 evaluated at $\theta_{\rm i} = 0^{\circ}$

$$\epsilon_{\rm v} = \frac{{\rm q}_2^2 - {\rm p}_2^2}{\omega^2 \mu_{\rm o}}$$
 evaluated at $\theta_{\rm i} = 0^{\circ}$

$$\underline{\mathbf{D}}_3 = \epsilon_3 \underline{\mathbf{E}}_3$$

where ϵ_3 is the dielectric constant of the soil. Two divergence conditions will also be used, along with the above boundary conditions.

$$\nabla \cdot \underline{E}_1 = 0$$
 and $\nabla \cdot \underline{E}_3 = 0$

When the equations for the mean fields given by (17) through (19) are placed in the boundary conditions, the result is 12 equations and 12 unknowns. Explicit solutions are only required for the six amplitudes associated with the mean wave in the random medium. Solving for these six values yields the following results:

$$T_2 = \frac{2jk_0 a_{12} a_2 \cos\theta_i}{a_{21} a_{12} - a_{11} a_{22}}$$
 (20)

$$V_2 = \frac{-2jk_0a_2a_{11}\cos\theta_1}{a_{21}a_{12}-a_{11}a_{22}}$$
 (21)

$$T_{1} = \frac{jk_{o}b_{12}\left\{2a_{1}\cos\theta_{i} + \sin\theta_{i}(1 - \epsilon_{o}/\epsilon_{v})[a_{3} + a_{1}\tan\theta_{i}]\right\}}{b_{21}b_{12} - b_{11}b_{22}}$$
(22)

$$V_{1} = \frac{-jk_{o}b_{11}\left\{2a_{1}\cos\theta_{1} + \sin\theta_{1}(1 - \epsilon_{o}/\epsilon_{v})[a_{3} + a_{1}\tan\theta_{1}]\right\}}{b_{21}b_{12} - b_{11}b_{22}}$$
(23)

$$T_{3} = \frac{1}{\epsilon_{v} \left[e^{-p_{2}L} e^{-jq_{2}L} - e^{p_{2}L} e^{iq_{2}L}\right]} \begin{cases} \frac{\epsilon_{3}k_{o}\sin\theta_{i}}{k_{3}\cos\theta_{3}} \\ \cdot \left[T_{1}e^{-p_{2}L} e^{-jq_{2}L} + V_{1}e^{p_{2}L} e^{jq_{2}L}\right] - \epsilon_{o}e^{p_{2}L}e^{jq_{2}L} \end{cases}$$

$$\cdot \left[a_{3} - \tan\theta_{i} \left(T_{1} + V_{1} - a_{1}\right)\right] \end{cases} (24)$$

$$V_{3} = \epsilon_{o}/\epsilon_{v} \left[a_{3} - \tan\theta_{i} \left(T_{1} + V_{1} - a_{1}\right)\right] - T_{3} (25)$$

(25)

The parameters used in the above equations are defined below:

$$a_{11} = (jk_3\cos\theta_3 - p_1 - jq_1)e^{-p_1L}e^{-jq_1L}$$

$$a_{12} = (jk_3\cos\theta_3 + p_1 + jq_1)e^{p_1L}e^{jq_1L}$$

$$a_{21} = jk_0\cos\theta_i + p_1 + jq_1$$

$$a_{22} = jk_0\cos\theta_i - p_1 - jq_1$$

$$b_{11} = e^{-p_2L}e^{-jq_2L}(p_2 + jq_2 - \alpha)$$

$$b_{12} = -e^{p_2L}e^{jq_2L}(p_2 + jq_2 + \alpha)$$

$$b_{21} = p_2 + jq_2 + jk_0 [\cos\theta_i + \sin\theta_i \tan\theta_i (1 - \epsilon_0/\epsilon_v)]$$

$$b_{22} = -\{p_2 + jq_2 - jk_0 [\cos\theta_i + \sin\theta_i \tan\theta_i (1 - \epsilon_0/\epsilon_v)]\}$$

where

$$\alpha = j \left\{ k_3 \cos\theta_3 + k_0^2 \sin^2\theta_1 (1 - \epsilon_3/\epsilon_v) / (k_3 \cos\theta_3) \right\}$$

Now that the mean waves have been fully determined, the scattered waves can be calculated. The scattered waves in the upper medium (air) will then be used to compute the backscatter coefficient.

SCATTERED WAVE SOLUTION

In the random medium, the scattered or incoherent field is calculated from the following equation:

$$[\nabla x \nabla x - k_{\perp}^{2}] \underline{E}_{\perp}^{(2)}(\underline{r}) = \xi(\underline{r}) < \underline{E}(\underline{r}) >$$
 (26)

For our problem, the mean wave $\langle \underline{E}(\underline{I}) \rangle$ is given by $\underline{E}_2(\underline{I})$ as shown in (18). A superscript 2 is used with $\underline{E}_3^{(2)}(\underline{I})$ to indicate clearly the scattered field in the random medium. A solution for this scattered field can be obtained by using a two-dimensional Fourier transform.

$$\underline{E}_{t}^{(2)}(\underline{r}) = \frac{1}{(2\pi)^{2}} \int \underline{dk}_{t} \underline{G}_{s}(\underline{k}_{t}, z) e^{i\underline{k}_{t} \cdot \underline{r}_{t}}$$
 (27)

where

$$\mathbf{k}_{t} = \mathbf{k}_{x} \mathbf{a}_{x} + \mathbf{k}_{y} \mathbf{a}_{y} \qquad \qquad \mathbf{I}_{t} = \mathbf{x} \mathbf{a}_{x} + \mathbf{y} \mathbf{a}_{y}$$

$$G_{a}(\underline{k}_{t}, z) = G_{ax}(\underline{k}_{t}, z)B_{x} + G_{ay}(\underline{k}_{t}, z)B_{y} + G_{az}(\underline{k}_{t}, z)B_{z}$$

When the complete expression for the mean wave in the random medium as given by (18) is placed in (26), a term of the form ξ (r) exp(-jk₀ xsin θ _i) on the right side results. This term will be written as a two-dimensional Fourier transform.

$$S(\underline{k}_{t}, z) = \int d\underline{r}_{t} \xi(\underline{I}) e^{-jk_{0} \times \sin \theta} i e^{-j\underline{k}_{t} \cdot \underline{I}_{t}}$$

$$\xi(\underline{I}) e^{-jk_{0} \times \sin \theta} i = \frac{1}{(2\pi)^{2}} \int d\underline{k}_{t} S(\underline{k}_{t}, z) e^{j\underline{k}_{t} \cdot \underline{I}_{t}}$$
(28)

When (27) and (28) are placed in (26) and the result is put in matrix form,

$$\begin{bmatrix} (k_{y}^{2} - k_{a}^{2} - D_{z}^{2}) & -k_{x}k_{y} & jk_{x}D_{x} \\ -k_{x}k_{y} & (k_{x}^{2} - k_{a}^{2} - D_{z}^{2}) & jk_{y}D_{z} \\ jk_{x}D_{z} & jk_{y}D_{z} & (k_{x}^{2} + k_{y}^{2} - k_{a}^{2}) \end{bmatrix} \begin{bmatrix} G_{ax} \\ G_{ay} \\ G_{az} \end{bmatrix} = \begin{bmatrix} f_{x}(z) \\ f_{y}(z) \\ f_{z}(z) \end{bmatrix}$$
(29)

where D_z represents the differential operator d/dz. The quantities on the right side of (29) are defined below:

$$f_{x}(z) = S(\underline{k}_{t}, z)[T_{1}e^{p_{2}z}e^{jq_{2}z} + V_{1}e^{-p_{2}z}e^{-jq_{2}z}]$$

$$f_{y}(z) = S(\underline{k}_{t}, z)[T_{2}e^{p_{1}z}e^{jq_{1}z} + V_{2}e^{-p_{1}z}e^{-jp_{1}z}]$$

$$f_{z}(z) = S(\underline{k}_{t}, z)[T_{3}e^{p_{2}z}e^{jq_{2}z} + V_{3}e^{-p_{2}z}e^{-jq_{2}z}]$$

Solutions for the quantities G_{sx} , G_{sy} , and G_{sx} can be obtained by solving the three differential equations in (29) using the method of variation of parameters.

$$G_{ax}(\underline{k}_{1},z) = A_{1}e^{-jk_{z}'z} + A_{2}e^{jk_{z}'z} + \frac{1}{2jk_{z}'k_{a}^{2}} \left[\int_{-L}^{z} f(z)e^{jk_{z}'z}dz \right]$$

$$\cdot e^{-jk_{z}'z} - \frac{1}{2jk_{z}'k_{a}^{2}} \left[\int_{L}^{z} f(z)e^{-jk_{z}'z}dz \right] e^{jk_{z}'z}$$
(30)

$$\begin{split} G_{xy}(k_1,z) &= B_1 e^{-jk_x'z} + B_2 e^{jk_x'z} - \frac{1}{2jk_xk_x^2} \left[\int_L^z h(z) e^{jk_x'z} dz \right] \\ &\cdot e^{-jk_x'z} + \frac{1}{2jk_xk_x^2} \left[\int_L^z h(z) e^{-jk_x'z} dz \right] e^{jk_x'z} \end{aligned} \tag{31}$$

$$G_{xz}(\underline{k}_1,z) &= \frac{(k_xA_1 + k_yB_1)}{k_x'} e^{jk_x'z} - \frac{(k_xA_2 + k_yB_2)}{k_x'z} \\ &\cdot e^{jk_x'z} + \frac{e^{-jk_x'z}}{2jk_x'^2k_x^2} \int_L^z \left[k_x f(z) - k_y h(z) \right] e^{jk_x'z} dz \\ &+ \frac{e^{jk_x'z}}{2jk_x'^2k_x^2} \int_L^z \left[k_x f(z) - k_y h(z) \right] e^{-jk_x'z} dz - \frac{f_z(z)}{k_x'^2} \\ k_z' &= \sqrt{k_x^2 - k_x^2 - k_y^2} \\ f(z) &= f_x(z)[k_x^2 - k_x^2] - k_y k_x f_y(z) + jk_x D_z f_z(z) \\ h(z) &= \frac{k_y(k_x^2 - k_y^2)}{k_x} f_x(z) - (k_x^2 - k_y^2) f_y(z) - jk_y D_z f_z(z) \\ &+ \frac{k_y}{k} (k_x^2 + k_y^2 - k_x^2) f_x(z) \end{aligned}$$

The quantities A_1 , A_2 , B_1 , and B_2 are not functions of z and at present, are unknown. Although the solutions for G_{sx} , G_{sy} , and G_{sx} are rather formidable in appearance, it will be found after some mathematical manipulations that a solution will emerge. The three components of the scattered electric field in the upper medium (z>0) can be written in the following form:

$$E_{sx}^{(1)}(r) = \frac{1}{(2\pi)^2} \int dk_t A_x(k_t) e^{j(k_x x + k_y y - k_{1z}z)}$$
(33)

$$E_{xy}^{(1)}(\underline{I}) = \frac{1}{(2\pi)^2} \int \underline{dk}_t A_y(\underline{k}_t) e^{j(k_x x + k_y y - k_{1z} x)}$$
(34)

$$E_{az}^{(1)}(\underline{I}) = \frac{1}{(2\pi)^2} \int \underline{dk}_t A_z(\underline{k}_t) e^{j(k_x x + k_y y - k_1 z^2)}$$
(35)

where

$$k_{1x} = \sqrt{\kappa_0^2 - k_x^2 - k_y^2}$$

The parameter k_{1z} wrobtained by taking any one of the field components given above and putting it is the free space scalar wave equation. The superscript 1 is used to refer to the field the upper medium, which is air. Therefore, $E_{zx}^{(1)}(\underline{L})$ would indicate the x component of the scattered electric field in air. The components of the scattered electric field in the soil (z < -L) can be written as follows:

$$E_{sx}^{(3)}(\underline{r}) = \frac{1}{(2\pi)^2} \int \underline{dk}_t C_x(\underline{k}_t) e^{j(k_x x + k_y y + k_{3z}z)}$$
(36)

$$E_{sy}^{(3)}(\underline{r}) = \frac{1}{(2\pi)^2} \int \underline{dk}_t C_{y}(\underline{k}_t) e^{j(1\cdot x^{x+k}y^{y+k}3z^{z})}$$
(37)

$$E_{sz}^{(3)}(I) = \frac{1}{(2\pi)^2} \int \underline{dk}_t C_z(\underline{k}_t) e^{j(k_x x + k_y y + k_{3z}z)}$$
(38)

where

$$k_{3z} = \sqrt{k_3^2 - k_x^2 - k_y^2}$$

The superscript 3 refers to the computation of the scattered fields in the soil. The expression for k_{3z} is obtained by putting any one of the field components into the

scalar wave equation, which has a propagation constant k_3 . The unknowns associated with the scattered waves are represented by A_x , A_y , A_z , A_1 , A_2 , B_1 , B_2 , C_x , C_y , and C_z . The only unknowns for which an explicit solution is needed are A_x , A_y , and A_z . Since the only interest is in computing the backscattered far field in air, complete solutions for the scattered fields in the other mediums are not needed. The boundary conditions that the scattered waves must satisfy are provided below:

$$E_{sx}^{(1)} = E_{sx}^{(2)} \text{ at } z = 0$$

$$E_{sy}^{(1)} = E_{sy}^{(2)} \text{ at } z = 0$$

$$\frac{\partial E_{sz}^{(1)}}{\partial y} - \frac{\partial E_{sy}^{(1)}}{\partial z} = \frac{\partial E_{sz}^{(2)}}{\partial y} - \frac{\partial E_{sy}^{(2)}}{\partial z} \text{ at } z = 0$$

$$\frac{\partial E_{sx}^{(1)}}{\partial z} - \frac{\partial E_{sz}^{(1)}}{\partial x} = \frac{\partial E_{sz}^{(2)}}{\partial z} - \frac{\partial E_{sz}^{(2)}}{\partial x} \text{ at } z = 0$$

$$E_{sx}^{(2)} = E_{sx}^{(3)} - E_{sy}^{(3)} = E_{$$

The boundary conditions given above, along with the divergence equations in the two homogeneous media allow ten independent equations to be formulated.

$$k_x A_x + k_y A_y = k_{1z} A_z \tag{39}$$

$$k_x C_x + k_y C_y = -k_{3z} C_z$$
 (40)

$$A_{x} = A_{1} + A_{2} + \frac{1}{2jk_{z}'k_{a}^{2}} \int_{-L}^{0} f(z)[e^{jk_{z}'z} - e^{-jk_{z}'z}]dz$$
 (41)

$$A_{y} = B_{1} + B_{2} + \frac{1}{2jk_{z}'k_{z}^{2}} \int_{-L}^{0} h(z)[e^{-jk_{z}'z} - e^{jk_{z}'z}]dz$$
 (42)

$$C_x = e^{jk_3zL} \{A_1 e^{jk_z'L} + A_2 e^{-jk_z'L}\}$$
 (43)

$$C_y = e^{jk_3z^L} \left\{ B_1 e^{jk_z'L} + B_2 e^{-jk_z'L} \right\}$$
 (44)

$$jk_{y}A_{z} + jk_{1z}A_{y} = jk_{y}G_{sz}(k_{t}, 0) - \frac{\partial G_{sy}}{\partial z}\Big|_{z=0}$$
 (45)

$$k_{1z}A_{x} + k_{x}A_{z} = j \frac{\partial G_{sx}}{\partial z} + k_{x}G_{sz}(k_{t}, 0)$$

$$= 0$$
(46)

$$jk_yG_{sz}(k_t, -L) - \frac{\partial G_{sy}}{\partial z} \Big|_{z = -L} = jk_yC_ze^{-jk_3z^L} - jk_{3z}C_ye^{jk_3z^L}$$
 (47)

$$\frac{\partial G_{x}}{\partial z} \bigg|_{z = -L} -jk_{x}G_{sx}(k_{t}, -L) = jk_{3z}C_{x}e^{-jk_{3z}L} -jk_{x}C_{z}e^{-jk_{3z}L}$$
(48)

A solution for A_x , A_y , and A_z using the above equations would be quite difficult for arbitrary values of k_x and k_y . However, since we are only interested in the backscattered far field in air, a basic expression for the far field can be obtained by using the Stratton-Chu integral as modified by Silver. When equations (33) through (35) are evaluated on the surface (z=0) and placed into the Stratton-Chu integral, the following equation for the scattered far field (\underline{E}_{sf}) will result:

⁴S. Silver, Microwave Antenna Theory and Design, McGraw Hill, New York, 1947.

$$\underline{E}_{sf} = \frac{2 \cos \theta_i (jk_o) e^{-jk_o R}}{4\pi R} \qquad \underline{a}_x A_x (k_o \sin \theta_i, 0) + \underline{a}_y A_y (k_o \sin \theta_i, 0)$$

$$+ \underline{a}_z A_z (k_o \sin \theta_i, 0) \qquad (49)$$

Where R is the distance from the origin of the coordinate system to the field point where \underline{E}_{sf} is required. It can now be seen that solutions for A_x , A_y , and A_z are only needed for values of $k_x = k_0 \sin \theta_i$ and $k_y = 0$. When the appropriate expressions for the derivatives are substituted into equation (45) through (48) and we let $k_y = 0$, the 10 equations (39) through (48) become.

$$\mathbf{k}_{\mathbf{x}}\mathbf{A}_{\mathbf{x}} = \mathbf{k}_{1z}\mathbf{A}_{\mathbf{z}} \tag{50}$$

$$\mathbf{k}_{\mathbf{x}}\mathbf{C}_{\mathbf{x}} = \mathbf{k}_{\mathbf{3}}\mathbf{C}_{\mathbf{x}} \tag{51}$$

$$A_{x} = A_{1} + A_{2} + \frac{I_{1}}{2jk'_{2}k_{2}^{2}}$$
 (52)

$$A_{y} = B_{1} + B_{2} + \frac{I_{2}}{2jk_{z}'k_{a}^{2}}$$
 (53)

$$C_x = e^{jk_3z^L} \left[A_1 e^{jk_z'L} + A_2 e^{-jk_z'L} \right]$$
 (54)

$$C_{v} = e^{jk_3z^L} \left[B_1 e^{jk_z'L} + B_2 e^{-jk_z'L} \right]$$
 (55)

$$jk_{1z}A_y = jk_z'B_1 - jk_z'B_2 - \frac{I_3}{2k_a^2}$$
 (56)

$$k_{1z}A_{x} + k_{x}A_{z} = k'_{z}A_{1} - k'_{z}A_{2} + \frac{1}{2jk_{a}^{2}}(1 + k_{x}^{2}/k_{z}^{\prime 2})I_{4}$$

$$+ \frac{k_{x}^{2}}{k'_{z}}(A_{1} - A_{2}) - \frac{k_{x}f_{z}(0)}{k_{z}^{\prime 2}}$$
(57)

$$-k'_{z}B_{1}e^{jk'_{z}L} + k'_{z}B_{2}e^{-jk'_{z}L} = k_{3z}C_{y}e^{-jk_{3z}L}$$

$$A_{2}(k'_{z}^{3} + k'_{x}k'_{z})e^{-jk'_{z}L} - A_{1}(k'_{z}^{3} + k'_{x}k'_{z})e^{jk'_{z}L} + k_{x}f_{z}(-L)$$

$$= k'_{z}^{2}e^{-jk_{3z}L}(k_{3z}C_{x} - k_{x}C_{z})$$
(58)

The quantities I_1 , I_2 , I_3 , and I_4 used in the above equations are integrals in z and are defined below:

$$I_{1} = \int_{-L}^{o} f(z) \left[e^{jk_{z}'z} - e^{-jk_{z}'z} \right] dz$$

$$I_{2} = \int_{-L}^{o} h(z) \left[e^{-jk_{z}'z} - e^{jk_{z}'z} \right] dz$$

$$I_{3} = \int_{-L}^{o} h(z) \left[e^{jk_{z}'z} + e^{-jk_{z}'z} \right] dz$$

$$I_{4} = \int_{-L}^{o} f(z) \left[e^{jk_{z}'z} + e^{-jk_{z}'z} \right] dz$$

The functions f(z), h(z) and k_z' can be evaluated at $k_y = 0$, before integration takes place. When all the above equations are used to solve for A_x , A_y , and A_z , the following results are derived:

$$A_{x}(k_{x}, o) = b_{1}f_{z}(-L) + b_{2}f_{z}(o) + \int_{-L}^{o} f(z) \{b_{5}e^{jk_{z}'z} + b_{6}e^{-jk_{z}'z}\} dz$$
(60)

$$A_{y}(k_{x}, o) = \frac{-1}{jk_{x}^{2} k_{1z}(1 + \tilde{a}_{1}) + k_{z}'(1 - \tilde{a}_{1})} \int_{-L}^{o} h(z)$$

$$\cdot \{ \tilde{a}_{1} e^{-jk_{z}'z} + e^{jk_{z}'z} \} dz \qquad (61)$$

$$A_{z}(k_{x}, o) = k_{x} \{ b_{1} f_{z}(-L) + b_{2} f_{z}(o) + \int_{-L}^{o} f(z)$$

$$\cdot \left[b_{5} e^{jk_{z}'z} + b_{6} e^{-jk_{z}'z} \right] dz \} / k_{1z} \qquad (62)$$

In the above three equations, k_x is to be evaluated at $k_0 \sin \theta_i$. The new quantities introduced into the above equations are defined below:

$$\tilde{a}_{1} = (k'_{z} - k_{3z})e^{-2jk'_{z}L} / (k'_{z} + k_{3z})$$

$$\tilde{a}_{2} = \frac{\left[k_{3z}(k'_{z}^{3} + k'_{x}k'_{z}) - k'_{z}^{2}(k^{2}_{3z} + k'_{x})\right]e^{-2jk'_{z}L}}{\left[k_{3z}(k'_{z}^{3} + k'_{x}k'_{z}) + k'_{z}^{2}(k^{2}_{3z} + k'_{x})\right]}$$

$$\tilde{a}_{3} = \frac{k_{3z}k_{x}e^{-jk'_{z}L}}{\left[k_{3z}(k'_{z}^{3} + k'_{x}k'_{z}) + k'_{z}^{2}(k^{2}_{3z} + k'_{x})\right]}$$

$$\tilde{a}_{4} = k'_{z}^{2}(k'_{1z} + k'_{x})$$

$$\tilde{a}_{5} = k_{1z}(k'_{z}^{3} + k'_{z}k'_{x}) (1 - \tilde{a}_{2})$$

$$\tilde{a}_{6} = k_{1z}(k'_{z}^{3} + k'_{z}k'_{x})\tilde{a}_{3}$$

$$\tilde{a}_{7} = k_{1z}(k'_{z}^{2} + k'_{x}) / (2jk'_{z}^{2})$$

$$\tilde{a}_{8} = \tilde{a}_{5} + \tilde{a}_{4} (\tilde{a}_{2} + 1)$$

A Superior Contract Contract

$$b_{1} = 2k_{1z}(k_{z}^{3} + k'_{z}k_{x}^{2})\bar{a}_{3} / \bar{a}_{8}$$

$$b_{2} = -k_{1z}k_{x}(1 + \bar{a}_{2}) / \bar{a}_{8}$$

$$b_{3} = \bar{a}_{7}(1 + \bar{a}_{2}) / \bar{a}_{8}$$

$$b_{4} = \bar{a}_{5} / (2j\bar{a}_{8} k'_{z}k_{8}^{2})$$

$$b_{5} = b_{3} + b_{4}$$

$$b_{6} = b_{3} - b_{4}$$

If the receiver in the far field is sensitive to a unit polarization vector \mathfrak{L}_r , then the received field (E_R) will be

$$E_R = \underline{e}_r \cdot \underline{E}_{sf}$$

where \underline{e}_r in general has three components ($\underline{e}_r = \underline{a}_x e_{rx} + \underline{a}_y e_{ry} + \underline{a}_z e_{rz}$). The next step in calculating the backscatter coefficient is to determine the statistical average of $E_R E_R^*$, using (49).

$$\langle E_{R} E_{R}^{*} \rangle = \frac{4k_{o}^{2} \cos^{2} \theta_{i}}{16\pi^{2} R^{2}} \left\{ e_{rx} e_{rx}^{*} \langle A_{x} A_{x}^{*} \rangle + 2Re[e_{rx} e_{ry}^{*} \\ \cdot \langle A_{x} A_{y}^{*} \rangle] + 2Re[e_{rx} e_{rz}^{*} \langle A_{x} A_{z}^{*} \rangle] + e_{ry}^{2} \\ \cdot \langle A_{y} A_{y}^{*} \rangle + 2Re[e_{ry} e_{rz}^{*} \langle A_{y} A_{z}^{*} \rangle] + e_{rz} e_{rz}^{*} \\ \cdot \langle A_{z} A_{z}^{*} \rangle \right\}$$

$$(63)$$

The brackets <---> around $E_R E_R^*$ are used to indicate the calculation of the statistical average. The possibility of e_{rx} and e_{rz} being complex is anticipated, with e_{ry} always being real. What is required now is the computation of each of the six terms inside the brackets of (63). The determination of $<A_yA_y^*>$ will be considered first:

$$< A_{y}A_{y}^{*}> = k_{a}^{2}k_{a}^{*2} M_{o}M_{o}^{*} \int_{-L}^{0} dz \int_{-L}^{0} dz \int_{-L}^{\infty} dx$$

$$\cdot \int_{-\infty}^{\infty} dx' \int_{-\infty}^{\infty} dy \int_{-\infty}^{\infty} dy' < \xi(I)\xi^{*}(I') >$$

$$\cdot \hat{f}(z, z') \exp[-2jk_{o}(x - x')\sin\theta_{i}]$$
(64)

where

$$\langle \xi (\underline{\mathbf{I}}) \xi^{*} (\underline{\mathbf{I}}') \rangle = (\omega^{2} \mu_{0}^{2} \eta_{2}^{2} + k_{0}^{4} \eta_{1}^{2}) e^{-|\mathbf{x} - \mathbf{x}'| / \ell_{X}} e^{-|\mathbf{y} - \mathbf{y}'| / \ell_{Y}} e^{-|\mathbf{z} - \mathbf{z}'| / \ell_{Z}}$$

$$\hat{f}(z, z') = \tilde{a}_{1} \tilde{a}_{1}^{*} \tilde{\mathbf{I}}_{2} T_{2}^{*} e^{D} \mathbf{1}^{z} e^{D_{1}^{*} z'} + \tilde{a}_{1} T_{2} a_{1}^{*} V_{2}^{*} e^{D} \mathbf{1}^{z} e^{D_{2}^{*} z'}$$

$$+ \tilde{a}_{1} T_{2} T_{2}^{*} e^{D} \mathbf{1}^{z} e^{D_{2}^{*} z'} + \tilde{a}_{1} T_{2} V_{2}^{*} e^{D} \mathbf{1}^{z} e^{D_{2}^{*} z'}$$

$$+ \tilde{a}_{1} V_{2} \tilde{a}_{1}^{*} T_{2}^{*} e^{D} \mathbf{2}^{z} e^{D_{1}^{*} z'} + \tilde{a}_{1} \tilde{a}_{1}^{*} V_{2} V_{2}^{*} e^{D} \mathbf{2}^{z} e^{D_{2}^{*} z'}$$

$$+ \tilde{a}_{1} V_{2} T_{2}^{*} e^{D} \mathbf{2}^{z} e^{D_{2}^{*} z'} + \tilde{a}_{1} V_{2} V_{2}^{*} e^{D} \mathbf{2}^{z} e^{D_{1}^{*} z'}$$

$$+ \tilde{a}_{1}^{*} T_{2} T_{2}^{*} e^{D} \mathbf{2}^{z} e^{D_{1}^{*} z'} + \tilde{a}_{1}^{*} T_{2} V_{2}^{*} e^{D} \mathbf{2}^{z} e^{D_{1}^{*} z'}$$

$$+ \tilde{a}_{1}^{*} T_{2} T_{2}^{*} e^{-D} \mathbf{2}^{z} e^{D_{1}^{*} z'} + \tilde{a}_{1}^{*} T_{2} V_{2}^{*} e^{-D} \mathbf{2}^{z} e^{D_{1}^{*} z'}$$

$$+ T_{2} T_{2}^{*} e^{-D} \mathbf{2}^{z} e^{D_{1}^{*} z'} + \tilde{a}_{1}^{*} V_{2} V_{2}^{*} e^{-D} \mathbf{2}^{z} e^{D_{1}^{*} z'}$$

$$+ V_{2} \tilde{a}_{1}^{*} T_{2}^{*} e^{-D} \mathbf{1}^{z} e^{D_{1}^{*} z'} + \tilde{a}_{1}^{*} V_{2} V_{2}^{*} e^{-D} \mathbf{1}^{z} e^{D_{1}^{*} z'}$$

$$+ V_{2} T_{2}^{*} e^{-D} \mathbf{1}^{z} e^{-D_{1}^{*} z'} + \tilde{a}_{1}^{*} V_{2} V_{2}^{*} e^{-D} \mathbf{1}^{z} e^{-D_{1}^{*} z'}$$

where

$$D_{1} = p_{1} + j(q_{1} - k'_{z}) \quad \text{and} \quad D_{2} = -(p_{1} + jq_{1} + jk'_{z})$$

$$M_{0} = \frac{-1}{jk_{a}^{2} k_{1z}(1 + a_{1}) + k'_{z}(1 - a_{1})}$$

The form of $\hat{f}(z, z')$ given above appears to be very complicated. However, each of the 16 terms in $\hat{f}(z, z')$ consist of simple exponentials in z and z' and therefore can be integrated easily. It should be remember when computing D_1 and D_2 that p_1 and q_1 are real, but k'_z will be complex. Making the substitution that u = x - x' and v = y - y' and transforming the x and y integrals into integrals in u and v produces

$$\langle A_{y}A_{y}^{*}\rangle = (\omega^{2}\mu_{o}^{2}\eta_{2}^{2} + k_{o}^{4}\eta_{1}^{2})k_{a}^{2}k_{a}^{*2}M_{o}M_{o}^{*} \int_{-L}^{o} dz$$

$$\int_{-L}^{o} dz' \int_{-L}^{m} du \int_{-L}^{m} dv \int_{-L}^{m} dx' \int_{-L}^{m} dy'$$

$$f(z, z') e^{-2jk_{o}u\sin\theta_{1}} e^{-|u|/2k_{z}} e^{-|v|/2k_{z}} e^{-|z-z'|/2k_{z}}$$

$$(65)$$

The integrals in x' and y' appear somewhat meaningless. These integrals actually represent the illuminated area in the xy plane, since it is physically unrealistic to have backscattered energy from a portion of the surface that is not illuminated. Considering the integrals in x' and y' to form the illuminated area (A_I) and carrying out the integrations in u and v will yield the following result:

$$\langle A_{y}A_{y}^{*}\rangle = \frac{4\ell_{x}\ell_{y}A_{1}(\omega^{2}\mu_{o}^{2}\eta_{2}^{2} + k_{o}^{4}\eta_{1}^{2})k_{a}^{2}k_{a}^{*2} M_{o}M_{o}^{*}}{(1 + 4k_{o}^{2}\ell_{x}^{2}\sin^{2}\theta_{1})}$$

$$\int_{-L}^{o} dz \int_{-L}^{o} dz' \hat{f}(z, z')e^{-|z-z'|/\ell z}$$
(66)

Consider now a typical term of $\hat{f}(z, z')$ which is of the form $Ae^{az}e^{bz'}$ where A,a, and b are not functions of z or z', and make a transformation of variables from z and z' to $n_z = z - z'$ and z'' = z'. Then, the results of carrying out the integration for this one term becomes

$$\int_{-L}^{0} dz \int_{-L}^{0} dz' A e^{az} e^{bz'} e^{-|z-z'|/\ell z} = \frac{A\ell_{x}}{(a+b)} \left\{ \frac{1-\ell_{x}b+\ell_{x}(a+b)e^{-L(a+1/\ell z})-(1+\ell_{x}a)e^{-L(a+b)}}{(1+\ell_{x}a)(1-\ell_{x}b)} + \frac{1-\ell_{x}a+\ell_{x}(a+b)e^{-L(1/\ell z+b)}-e^{-L(a+b)}(1+b\ell_{x})}{(1+\ell_{x}b)(1-\ell_{x}a)} \right\}$$

When the answer for the integration in z and z' given above is used for each of the 16 terms in f(z, z'), then the final result for $\langle A_v A_v^* \rangle$ can be written.

$$< A_{y}A_{y}^{*}> = \frac{4\ell_{x}\ell_{y}\ell_{z}A_{1}(\omega^{2}\mu_{o}^{2}\eta_{2}^{2} + k_{o}^{4}\eta_{1}^{2})k_{a}^{2}k_{a}^{*2}M_{o}M_{o}^{*}}{(1 + 4k_{o}^{2}\ell_{x}^{2}\sin^{2}\theta_{1})}$$

$$\cdot \sum_{n=1}^{16} \frac{A_{n}}{(c_{n} + d_{n})} \left\{ \frac{1 - \ell_{z}d_{n} + \ell_{z}(c_{n} + d_{n})e^{-L(c_{n} + 1/\ell_{z})} - (1 + \ell_{z}c_{n})e^{-L(c_{n} + d_{n})}}{(1 + \ell_{z}c_{n})(1 - \ell_{z}d_{n})} + \frac{1 - \ell_{z}c_{n} + \ell_{z}(c_{n} + d_{n})e^{-L(d_{n} + 1/\ell_{z})} - e^{-L(c_{n} + d_{n})}(1 + d_{n}\ell_{z})}{(1 + \ell_{z}d_{n})(1 - \ell_{z}c_{n})} \right\}$$

The values for the A_n 's, the c_n 's, and d_n 's are provided in appendix A and simply come from the expression for f(z, z'). Using the methodology for computing $\langle A_y A_y^* \rangle$, one can caluclate all the remaining terms in (63). All of these other terms are given in appendix A. An expression for the radar backscatter coefficient (σ_v^o) can be written in terms of $\langle E_R E_R^* \rangle$

$$\sigma_{v}^{o} = \frac{4\pi R^{2}}{A_{I}} \frac{\langle E_{R} E_{R}^{*} \rangle}{\underline{E}_{i} \cdot \underline{E}_{i}^{*}}$$
(68)

The subscript v on σ_v^o is used to indicate a volume scattering result from a plane layer of random medic. No consideration for rough surface scattering is given in σ_v^o . Using (63) and the expression for the incident wave given previously, one can write a final result for σ_v^o :

$$\sigma_{v}^{\circ} = \frac{k_{o}^{2} \cos^{2} \theta_{i}}{\pi (a_{1} a_{1}^{*} + a_{2} a_{2}^{*} + a_{3} a_{3}^{*})}$$

$$\cdot \left\{ e_{rx} e_{rx}^{*} \alpha_{xx} + 2 \operatorname{Re} \left[e_{rx} e_{ry} \alpha_{y} \right] + 2 \operatorname{Re} \left[e_{rx} e_{rz}^{*} \alpha_{xz} \right] + e_{ry}^{2} \alpha_{yy} + 2 k_{o} \sin \theta_{i} \right\}$$

$$\cdot \operatorname{Re} \left[e_{ry} e_{rz} \alpha_{xy} / k_{1z} \right] + e_{rz} e_{rz}^{*} \alpha_{zz}$$
(69)

where

$$\alpha_{xx} = \langle A_x A_x^* \rangle / A_l$$

$$\alpha_{xy} = \langle A_x A_y^* \rangle / A_l$$

$$\alpha_{xz} = \langle A_x A_z^* \rangle / A_l$$

$$\alpha_{yy} = \langle A_y A_y^* \rangle / A_l$$

$$\alpha_{zz} = \langle A_z A_z^* \rangle / A_l$$

Consider now the form of σ_v^o for horizontal and vertical polarizations. The following parameters are used to describe a wave that is transmitted with horizontal polarization and horizontal polarization is received.

$$a_1 = 0$$
 $e_{rx} = 0$
 $a_2 = 1$ $e_{ry} = 1$
 $a_3 = 0$ $e_{ry} = 0$

For these parameters, the backscatter coefficient can be given the additional subscripts of HH to indicate horizontal polarization transmit, and horizontal polarization receive.

$$\sigma_{\rm H\,H\,v}^{\rm o} = k_{\rm o}^2 \, \alpha_{\rm y\,y} \, \cos^2 \theta_{\rm i} / \pi \tag{(70)}$$

The case of vertical polarization transmit, vertical polarization receive can be characterized as follows:

$$\mathbf{e}_{1} = \cos\theta_{i} \qquad \mathbf{e}_{rx} = \cos\theta_{i}$$

$$\mathbf{e}_{2} = 0 \qquad \mathbf{e}_{ry} = 0$$

$$\mathbf{e}_{3} = \sin\theta_{i} \qquad \mathbf{e}_{rz} = \sin\theta_{i}$$

The backscatter coefficient associated with these parameters can be given the additional subscripts VV.

$$\sigma_{VVv}^{\circ} = \frac{k_o^2 \cos^2 \theta_i}{\pi} \left\{ \alpha_{xx} \cos^2 \theta_i + 2 \operatorname{Re} \left[\alpha_{xz} \cos \theta_i \sin \theta_i \right] + \alpha_{zz} \sin^2 \theta_i \right\}$$
(71)

If a result is computed for the cross-polarized backscatter coefficient (HV or VH), the term will disappear. The reason for this is that the particular elements of the dyadic M, which would yield cross-polarized terms in the mean wave, are all zero. Next, let's consider the influence of an irregular vegetation-soil boundary.

MODIFYING THE VOLUME SCATTERING RESULTS TO IN-CORPORATE THE INFLUENCE OF AN IRREGULAR VEGETA-TION - SOIL BOUNDARY In this section, we will consider what must be done to equations (70) and (71) to include the influence of a rough ground surface. It is expected that the influence of the rough surface would be greater when the angle of incidence is small. Also, as the vegetation height or density gets larger, less scattering is expected from the

ground surface below. In what follows, the horizontal and vertical polarizations will be considered separately.

Consider a horizontally polarized wave incident from free space onto a layer of vegetation that has an average thickness L. The interface between the vegetation and soil will be considered as randomly rough in such a way that the tangent plane approximation is applicable. The radar backscatter coefficient $(\sigma_{\rm H\,H}^{\rm o})$ will be considered as the sum of a term resulting from surface scattering and a term resulting from volume scattering.

$$a_{HH}^{\bullet} = a_{HHS}^{\circ} \exp \left[-4\alpha_{\bullet 1} L \sec \psi_{\bullet 1}\right] + a_{HHV}^{\circ}$$
 (72)

In equation (72), σ_{HHS}° represents the backscatter coefficient for a randomly rough surface with a guassian distribution of surface heights. The subscript s indicates surface scattering. The quantity σ_{HHS}° is multiplied by a decaying exponential in which α_{e1} is the imaginary part of the effective propagation constant and ψ_{e1} is the true angle of refraction for the mean wave in the vegetation. The second subscript 1 on α_{e1} and ψ_{e1} is used to indicate horizontal polarization since these parameters will have different values for vertical polarization. The effective propagation constant (k_{e1}) can be obtained from k_h .

$$k_{e1}^2 = k_{ex}^2 + k_{ex}^2 = k_h^2 + k_o^2 \sin^2 \theta_i$$

If we now let $k_{e1} = \beta_{e1} - j\alpha_{e1}$ and in place of k_h we put $jp_1 - q_1$, then we can solve for β_{e1} and α_{e1} .

$$\beta_{e1} = \rho_{e1}^{1/2} \cos{(\phi_{e1}/2)}$$

$$\alpha_{e1} = \rho_{e1}^{V_e} \sin{(\phi_{e1}/2)}$$

Where ρ_{e1} and ϕ_{e1} are defined below:

$$\rho_{01} = \left\{ 4p_1^2 q_1^2 + (q_1^2 + k_0^2 \sin^2 \theta_1 - p_1^2)^2 \right\}^{\frac{1}{2}}$$

$$\phi_{01} = \tan^{-1} \left\{ \frac{2p_1 q_1}{q_1^2 + k_0^2 \sin^2 \theta_1 - p_1^2} \right\}$$

The planes of constant phase for the mean wave in the random medium are used to calculate an expression for $\sec \psi_{e,1}$.

$$\sec \psi_{e1} = \frac{\sqrt{k_o^2 \sin^2 \theta_i + \rho_1^2 (\beta_{e1} \cos \gamma_1 - \alpha_{e1} \sin \gamma_1)}}{\rho_1 (\beta_{e1} \cos \gamma_1 - \alpha_{e1} \sin \gamma_1)}$$

Where ρ_1 and γ_1 are given below:

$$\rho_{1} = \begin{cases} 4a_{1}^{2}b_{1}^{2}\sin^{4}\theta_{1} + [1 - (a_{1}^{2} - b_{1}^{2})\sin^{2}\theta_{1}]^{2} \end{cases}^{\frac{1}{4}}$$

$$\gamma_{1} = \frac{1}{2}\tan^{-1}\left[\frac{2a_{1}b_{1}\sin^{2}\theta_{1}}{1 - (a_{1}^{2} - b_{1}^{2})\sin^{2}\theta_{1}}\right]$$

$$a_{1} = \frac{k_{o}\beta_{e1}}{\beta_{e1}^{2} + \alpha_{e1}^{2}} \qquad b_{1} = \frac{k_{o}\alpha_{e1}}{\beta_{e1}^{2} + \alpha_{e1}^{2}}$$

Many derived expressions are available for the backscatter coefficient from a randomly rough surface using the tangent plane method. The following equation will be used:⁵

$$\alpha_{\text{H H S}}^{\circ} = \frac{R_o^2}{4m_s^2 \cos^4 \psi_{e1}} \left\{ 1 - \sin^2 \psi_{e1} \left[2(1 - g_o \cos^2 \psi_{e1}) - \sin^2 \psi_{e1} (1 + g_o^2) \right] \right\} \exp \left[-\tan^2 \psi_{e1} / (4m_s^2) \right]$$
(73)

⁵R. A. Hevenor, Backscattering of Electromagnetic Waves From a Surface Composed of Two Types of Surface Roughness, U.S. Army Engineer Topographic Laboratories, Fort Belvoir, Virginia. FTL -TR-71-4, October 1971, AD-737 675.

The quantity R_o in (73) represents the Fresnel reflection coefficient and can be computed as follows:

$$R_{o} = \frac{\beta_{e1} \cos \psi_{e1} - \sqrt{\beta_{3}^{2} - \beta_{e1}^{2} \sin^{2} \psi_{e1}}}{\beta_{e1} \cos \psi_{e1} + \sqrt{\beta_{3}^{2} - \beta_{e1}^{2} \sin^{2} \psi_{e1}}}$$

In calculating R_o , we have neglected the effect of the imaginary parts of the propagation constants. The term m_a represents the ratio of the standard deviation of the surface fluctuations to the correlation distance. The quantity g_o is defined by the following expression:

$$g_{0} = 1 - \frac{2\beta_{01} \cos \psi_{01}}{\sqrt{\beta_{3}^{2} - \beta_{01}^{2} \sin^{2} \psi_{01}}}$$

A final equation can now be written for σ_{HH}° that considers both the volume-scattering and surface-scattering effects.

$$\sigma_{HH}^{o} = \frac{R_{o}^{2}}{4m_{s}^{2}\cos^{4}\psi_{o1}} \left\{ 1 - \sin^{2}\psi_{e1} \left[2(1 - g_{o}\cos^{2}\psi_{e1}) - \sin^{2}\psi_{e1} \left(1 + g_{o}^{2}\right) \right] \right\} \exp\left[-\tan^{2}\psi_{e1} / (4m_{s}^{2}) \right]$$

$$- \exp\left[-4\alpha_{e1} L \sec\psi_{e1} \right] + k_{o}^{2}\alpha_{vv} \cos^{2}\theta_{v} / \pi$$
 (74)

In the same manner, a complete solution for vertical polarization can be obtained:

$$\sigma_{VV}^{o} = \frac{r_{o}^{2}}{4m_{s}^{2}\cos^{4}\psi_{e2}} \left\{ 1 + \frac{r_{o}'\sin^{2}\psi_{e2}\cos\psi_{e2}}{\gamma_{o}^{2}} \left[2r_{o}\sin\psi_{e2} + r_{o}'\cos\psi_{e2} \right] + \frac{2r_{o}'}{r_{o}}\sin\psi_{e2}\cos^{3}\psi_{e2} \right\}$$

$$\begin{aligned} \cdot \exp\left[-\tan^2 \psi_{e2}/(4m_s^2)\right] &\exp\left[-4\alpha_{e2} \operatorname{L} \sec \psi_{e2}\right] \\ &+ \frac{k_o^2 \cos^2 \theta_i}{\pi} \left\{ \alpha_{xx} \cos^2 \theta_i + 2\operatorname{Re}\left[\cos \theta_i \sin \theta_i \alpha_{xz}\right] + \alpha_{zz} \sin^2 \theta_i \right\} \end{aligned}$$

The new quantities introduced into the above equation are defined below:

$$\beta_{e2} = \rho_{e2}^{1/2} \cos(\phi_{e2}/2)$$

$$\alpha_{e2} = \rho_{e2}^{1/2} \sin(\phi_{e2}/2)$$

The quantities ρ_{e2} and ϕ_{e2} are given as follows:

$$\rho_{e2} = \left\{ 4p_2^2 q_2^2 + (q_2^2 + k_0^2 \sin^2 \theta_i - p_2^2)^2 \right\}^{\frac{1}{2}}$$

$$\phi_{e2} = \tan^{-1} \left\{ \frac{2p_2 q_2}{q_2^2 + k_0^2 \sin^2 \theta_i - p_2^2} \right\}$$

$$\sec \psi_{e2} = \frac{\sqrt{k_0^2 \sin^2 \theta_i + \rho_2^2 (\beta_{e2}^2 \cos \gamma_2 - \alpha_{e2} \sin \gamma_2)^2}}{\rho_2 (\beta_{e2} \cos \gamma_2 - \alpha_{e2} \sin \gamma_2)}$$

$$\rho_2 = \left\{ 4a_2^2 b_2^2 \sin^4 \theta_i + [1 - (a_2^2 - b_2^2) \sin^2 \theta_i]^2 \right\}^{\frac{1}{2}}$$

$$\gamma_2 = \frac{1}{2} \tan^{-1} \left[\frac{2a_2 b_2 \sin^2 \theta_i}{1 - (a_2^2 - b_2^2) \sin^2 \theta_i} \right]$$

where a₂ and b₂ are

$$a_{2} = \frac{k_{o} \beta_{e2}}{\beta_{e2}^{2} + \alpha_{e2}^{2}} \qquad b_{2} = \frac{k_{o} \alpha_{e2}}{\beta_{e2}^{2} + \alpha_{e2}^{2}}$$

$$r_{2} = \frac{\beta_{3}^{2} \cos \psi_{e2} - \beta_{e2} \sqrt{\beta_{3}^{2} - \beta_{e2}^{2} \sin^{2} \psi_{e2}}}{\beta_{3}^{2} \cos \psi_{e2} + \beta_{e2} \sqrt{\beta_{3}^{2} - \beta_{e2}^{2} \sin^{2} \psi_{e2}}}$$

$$r'_{o} = \frac{2\beta_{3}^{2} \beta_{e2} \sin \psi_{e2} (\beta_{3}^{2} - \beta_{e2}^{2})}{\sqrt{\beta_{3}^{2} - \beta_{e2}^{2} \sin^{2} \psi_{e2}} \left\{ \beta_{e}^{2} \cos \psi_{e2} + \beta_{e2} \sqrt{\beta_{3}^{2} - \beta_{e2}^{2} \sin^{2} \psi_{e2}} \right\}}$$

Equations (74) and (75) are the final results for the radar backscatter coefficient for horizontal and vertical polarizations. Before the results of computing equations (74) and (75) are shown, an elementary vegetation permittivity model must be developed that relates some of the model input parameters to the complex dielectric constants of vegetation and water.

DEVELOPMENT OF A VEGETATION PERMITTIVITY MODEL

To determine the influence of various vegetation parameters (such as moisture content) upon the calculation of the backscatter coefficient, one must relate some of the permittivity parameters in

the scattering model to the physical parameters of the vegetation. Peake and Oliver's model⁶ will be used to calculate the relative complex dielectric constant of vegetation ($\hat{\epsilon}_{v}$):

$$\epsilon_{v} = (F/2) \text{Re}[\hat{\epsilon}_{w}] + j(F/3) \text{Im}[\hat{\epsilon}_{w}]$$

⁶W.H. Peake and T.L. Oliver, *The Response of Terrestrial Surfaces at Microwave Frequencies*, Technical Report AFAL-TR-70-301, The Ohio State University, Electroscience Laboratory, AD-884 106.

where F is the fraction of water by weight in the vegetation; Re[$\hat{\epsilon}_w$] and Im[$\hat{\epsilon}_w$] are the real and imaginary parts of the relative complex dielectric constant of water ($\hat{\epsilon}_w$), which can be written as

$$\widehat{\epsilon}_{\mathbf{w}} = 5 + \frac{75}{1 + \mathbf{j}(1.85/\lambda)}$$

where λ is the wavelength in centimeters. For particular values of λ and F, we can now compute $\hat{\epsilon}_v$. With a knowledge of $\hat{\epsilon}_v$, one can estimate the average relative complex dielectric constant ($\hat{\epsilon}_a$) by using the following

$$\hat{\epsilon}_{a} = (V_{v} \hat{\epsilon}_{v} + \epsilon_{A} V_{A})/V_{T}$$

$$\hat{\epsilon}_{a} = \text{Re}[\hat{\epsilon}_{a}]$$

$$\sigma_{a} = -\omega \epsilon_{o} \left(\frac{V_{v}}{V_{T}}\right) \text{Im}(\hat{\epsilon}_{v})$$

where V_V is the volume occupied by the vegetation; V_A is the volume occupied by air; V_T is the total volume equal to $V_V + V_A$; ϵ_A is the relative dielectric constant of air, assumed equal to 1. The variances η_1^2 and η_2^2 can be computed by using the following formulas:

$$\eta_1^2 = \frac{V_v (\epsilon_v' - \epsilon_a)^2 + V_A (\epsilon_A - \epsilon_a)^2}{V_T}$$

$$\eta_2^2 = \frac{V_v (\sigma_v - \sigma_a)^2 + V_A \sigma_a^2}{V_T}$$

where

$$\sigma_{v} = -\omega \epsilon_{o} F \text{ im} [\epsilon_{w}]/3 \quad \text{and} \quad \epsilon'_{v} = \text{Re} [\hat{\epsilon}_{v}]$$

The symbol R_V shall be used to designate the volume ratio $V_V \ / \ V_T$.

The developed model for the radar backscatter coefficient is now complete and calculations can be made. In the next section, computed results will be shown, and the theory will be compared with some existing experimental data.

DISCUSSION OF RESULTS

In this section, some numerical calculations will be shown for the theory derived in the previous section, and a study will be presented of the influence of the various input parameters on the backscatter coefficient. Two computer programs were developed for solving equations (74) and (75). One program solves for equation (74) and the second solves for (75). The solutions to the half-space and plane layer problems are also generated for comparison. A listing of the computer program for solving equation (74) is given in appendix B. The 10 input parameters to the programs are

- 1. Fraction of water by weight in the vegetation (F)
- 2. Volume of vegetation divided by the total volume (R_V)
- 3. Correlation distance in the x direction (ℓ_x)
- 4. Correlation distance in the y direction (l_y)
- 5. Correlation distance in the z direction (ℓ_z)
- 6. Mean thickness of the vegetation layer (L)
- 7. Relative dielectric constant of the soil below the vegetation (ϵ_g)
- 8. Conductivity of the soil below the vegetation (σ_3)
- 9. Frequency (f)
- 10. Ratio of the standard deviation of the rough surface fluctuations to the correlation distance of the fluctuations (m_s).

The output of the computer programs is the backscatter coefficient in decibels as a function of incidence angle. The backscatter coefficient in decibels is related to the backscatter coefficient as follows:

$$\sigma^{\circ}$$
 (in decibels) = $10 \log_{10} \sigma^{\circ}$

The backscatter coefficient on the right side of the above equation is computed by (74) or (75). The following discussion centers on figures 2 through 30, which show the results of computing equations (74) and (75). Figures 2 and 3 come from Ulaby and Bush and provide pertinent ground truth data associated with the experimental measurements. Figure 4 comes from Cihlar and Ulaby⁷ and provides a relationship between soil moisture and relative complex dielectric constant. Figures 5 through 15 provide a comparison of the developed theory with experimental data taken from a cornfield by Ulaby and Bush.⁸

⁷F.T. Ulaby and T.F. Bush, Corn Growth as Monitores by Radar, The University of Kansas Center for Research, Inc. RSL Technical Report 117-57, November 1975.

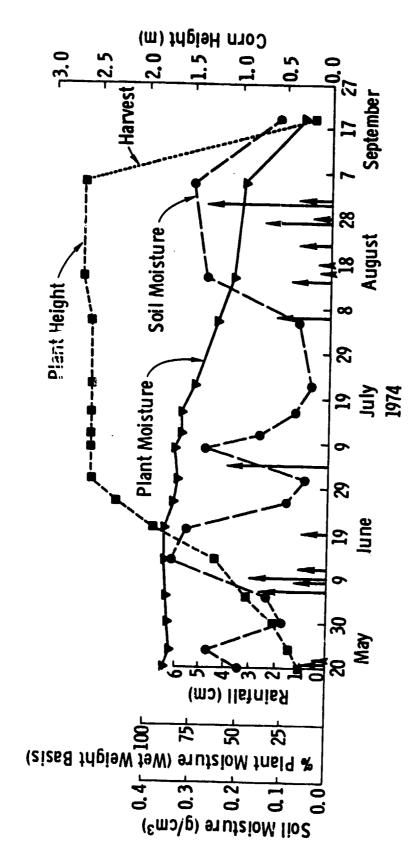
⁸F.T. Ulaby and J. Cihlar, Dielectric Properties of Soils as a Function of Moisture Content, The University of Kansas Center for Research, Inc., RSL Technical Report 177-47, November 1974.

FIGURE 2. Corn Ground Truth, 1974.

•						
	Soil Moisture	(g/cm ³))	% Plant Moisture	Normalized Plant Water Content (g/m)	Plant Height (m)
Date	Z	W	(±,			
May 20	91.	91.	.24	89.5	77	0.30
May 24	.28	.26	.26	86.5	167	0.40
May 30	.12	.10	.12	87.1	252	0.58
June 5	.10	.13	.10	88.5	262	0.88
June 13	.34	.34	.34	6.68	552	1.25
June 20	.30	.31	.32	6.68	442	1.90
June 26	90:	60.	80:	83.9	383	2.30
July 1	.05	.05	.07	82.4	425	2.60
July 8	.21	.27	.30	84.7	440	2.60
July 11	.17	.15	.15	81.5	490	2.60
July 16	40.	.07	90.	81.5	374	2.60
July 22	40.	2 :	9.	73.4	482	2.60
August 5	90:	.07	.07	62.4	236	2.60
Autust 15	.26	.27	.26	52.9	66	2.70
Septe:nber 5	.31	.30	.29	47.5	151	2.70
September 19	.12	.12	.10	16.5	58	0.23
July 30*	.26	.26	.26	74.8	413	2.60
				_	•	
* = irrigated corn field	N = near ran	near range sample	M = medium range sample		F = far range sample	e.

SOURCE: F.T. Ulaby and T.F. Bush, Corn Growth as Monitored by Radar, The University of Kansas Center for Research, Inc. RSL Technical Report 117-57, November 1975.

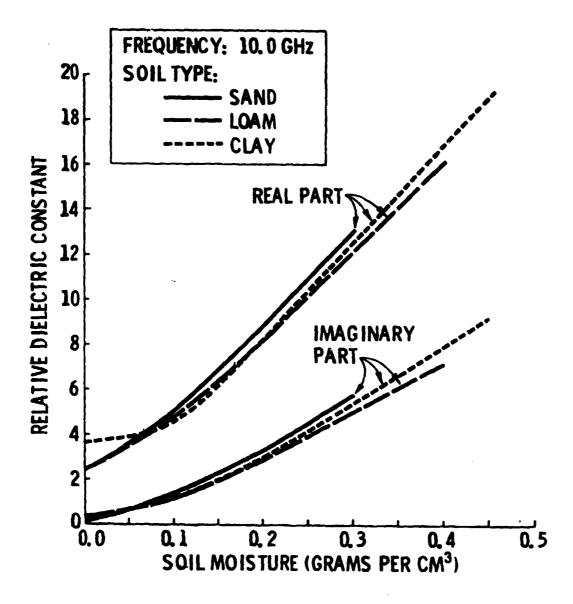
Figure 2. Corn Ground Truth, 1974.



SOURCE: F.T. Ulaby and T.F. Bush, Corn Growth as Monitored by Radar, The University of Kansas Center for Research, Inc. RSL Technical Report 117-57, November 1975.

Figure 3. Data Record of Soil Moisture, Plant Moisture, Plant Height, and

Precipitation as Measured During the Observation Period.



Source: F.T. Ulaby and J. Cihlar, Dielectric Properties of Soils as a Function of Moisture Content, The University of Kansas Center for Research, Inc., RSL Technical Report 177-47, November 1974.

Figure 4. Representative Dielectric Constant Values as a Function of Volumetric Water Content.

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The soil moisture is obtained from figure 2 for a particular set of measurements performed on a given date. This soil moisture is used along with the curves of figure 4 to determine the relative dielectric constant and the conductivity of the soil. Throughout all comparisons of theory with experiment, it has been assumed that the soil type is a loam. In comparing theory with experiment, remember that certain input parameters to the theoretical model were not known and had to be estimated; whereas, other input parameters were known from the ground truth data collected during the experiment. The unknown input parameters are R_V , ℓ_v , ℓ_v , and ℓ_v .

In figure 5, the theory is matched to the experimental data for corn that is at a height of 30 centimeters. The large rise that occurs in σ° as θ_{i} goes from 10° to 0° is indicative of a rough surface effect. In this case, the rough surface is quasi-specular since m_{s} is given such a small value. It can be seen that to match the theory with the experimental data, it was necessary to let ℓ_{x} be different from ℓ_{y} and to let ℓ_{z} be much smaller than ℓ_{x} or ℓ_{y} . The fact that ℓ_{x} is different from ℓ_{y} shows an anisotropic effect in the horizontal plane, which probably arises from the corn being planted in rows.

Figure 6 matches the theory to experimental data for corn that is 2.3 meters high. It can be seen that a good match is obtained for ℓ_x equal to ℓ_y , indicating that the anistropic effect in the horizontal plane has essentially disappeared for 8.6 GHz. The values of the parameters used for R_V , ℓ_x , ℓ_y , ℓ_z , and m_s in figure 6 are also used in figures 7 through 10 to determine whether the model could provide a correct prediction of σ° for different values of F, soil moisture, and vegetation height.

Figure 10 shows an excellent agreement between theory and experiment. Figure 10 shows an excellent agreement between theory and experiment for angles of incidence equal to and greater than 30°. For angles of incidence less than 30°, the agreement is poor. A possible reason for this poor agreement may be due to the rainfall that came prior to the August 15th measurements. The rainfall could have disturbed the soil surface in both a physical and an electrical manner such that its scattering behavior is no longer predictable from prior values.

Figures 11 and 12 show an attempt to match the theory to the experimental data for frequencies of GHz and 13 GHz. It can be seen that to obtain a good match, the value of ℓ_y must be altered from the values used at 8.6 GHz. This seems to indicate that as the frequency goes higher, the vegetation medium becomes more complicated and the anisotropic behavior becomes more pronounced.

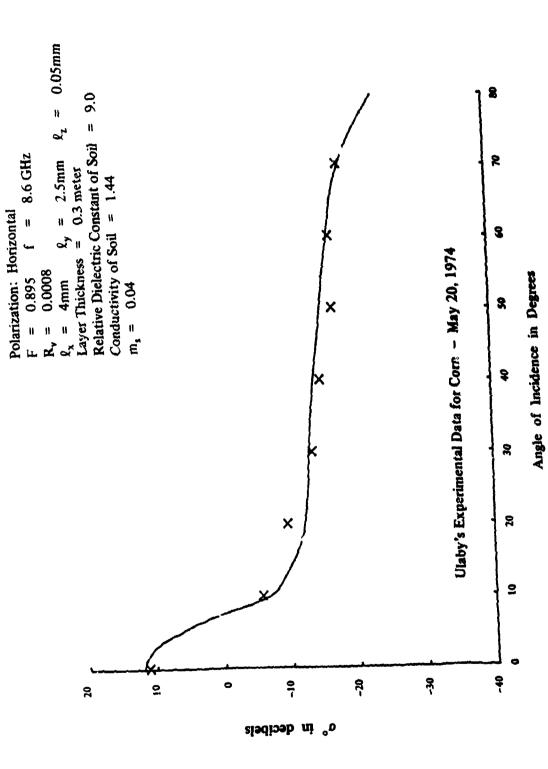


Figure 5. Comparison of Theory with Experimental Data.

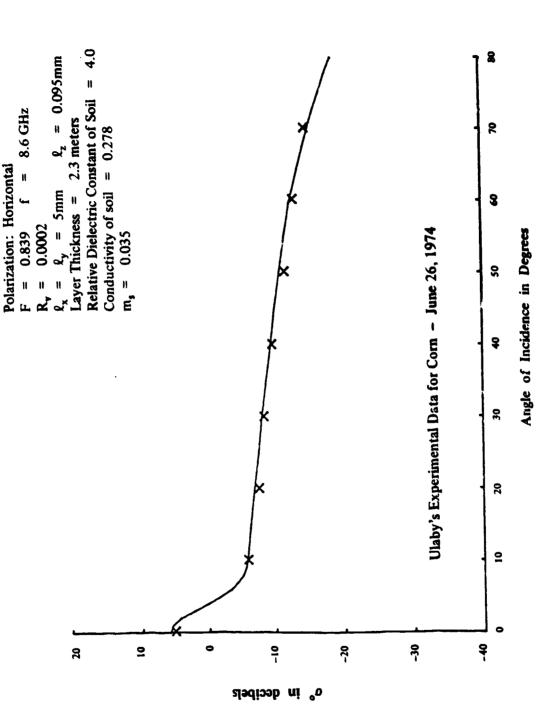


Figure 6. Comparison of Theory with Experimental Data.

 $R_v = 0.0002$ $\ell_x = \ell_y = 5mm$ $\ell_z = 0.095mm$ Layer Thickness = 2.6 meters Relative Dielectric Constant of Soil = 3.5 Conductivity of Soil = 0.389 $m_s = 0.035$ $= 8.6 \, \mathrm{GHz}$ 8 Polarization: Horizontal F = 0.815 f = 8 $R_v = 0.0002$ 3 Ulaby's Experimental Data for Corn - July 16, 1974 g \$ 38 ន 0 2 87 -30 9--40 2

2

Figure 7. Comparison of Theory with Experimental Data.

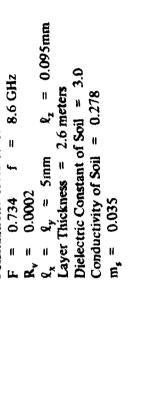
Angle of Incidence in Degrees

o° in decibels

Polarization: Horizontal

2

2



X

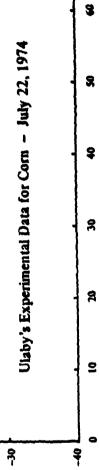


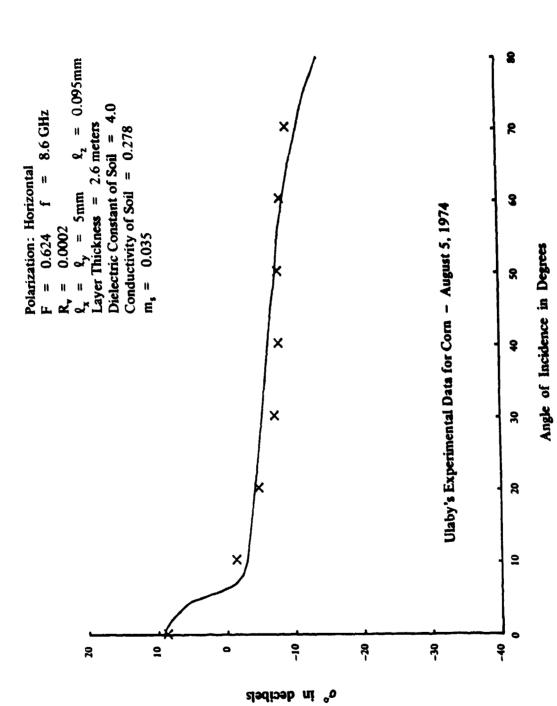
Figure 8. Comparison of Theory with Experimental Data.

Angle of Incidence in Degrees

9

o in decibels

97-



1

Figure 9. Comparison of Theory with Experimental Data.

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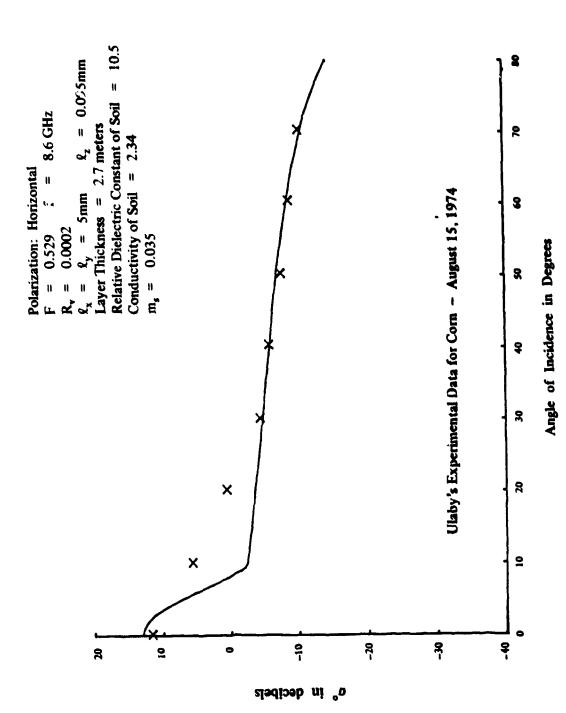


Figure 10. Comparison of Theory with Experimental Data.

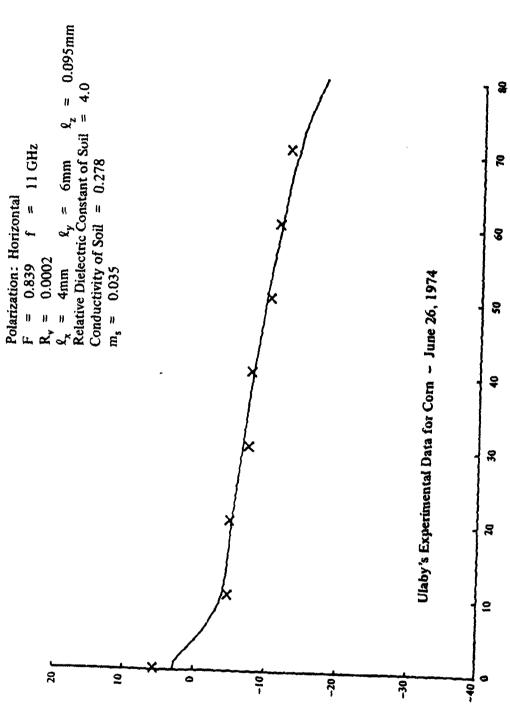


Figure 11. Comparison of Theory with Experimental Data.

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Angle of Incidence in Degrees

o in decibels

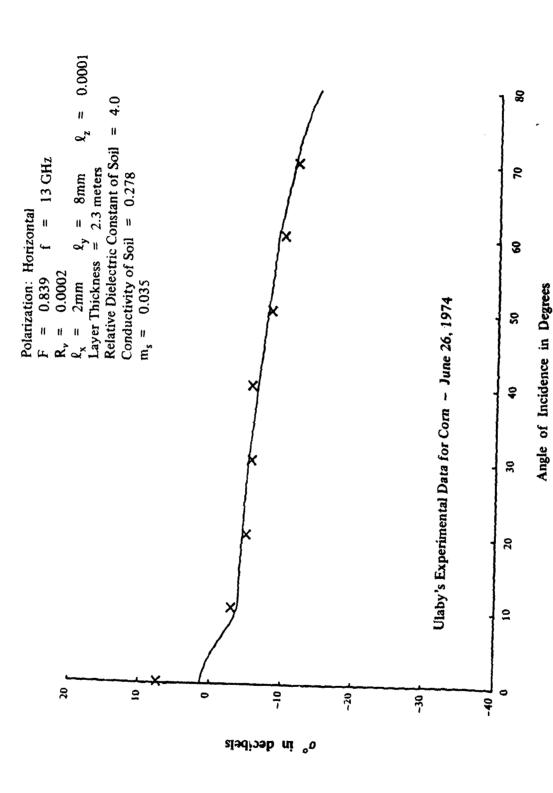


Figure 12. Comparison of Theory with Experimental Data.

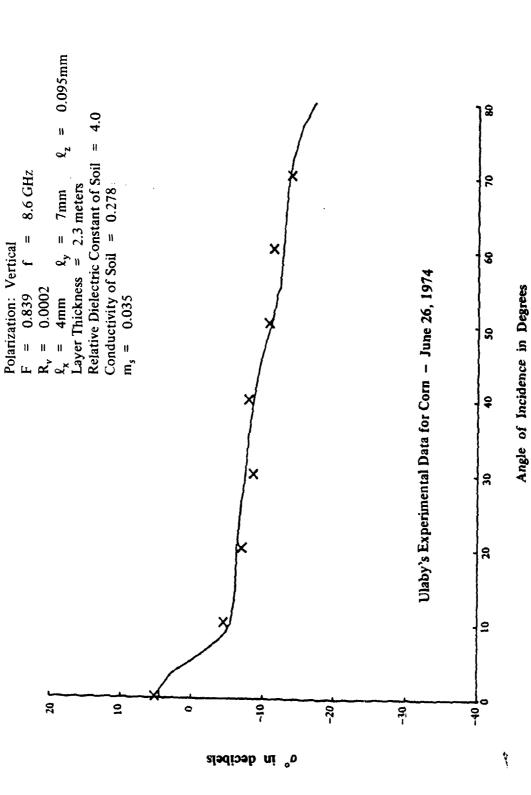


Figure 13. Comparison of Theory with Experimental Data.

In figures 13 through 15 a match is shown of the theory with experimental data for vertical polarization. For all three curves, ℓ_x must be made unequal to ℓ_y to obtain a good match. In figure 16, the variations are shown in the experimental measurements of σ° , which can occur throughout the spring and summer for alfalfa. Large variations in the backscatter coefficient occur prior to and after harvesting.

Figure 17 presents a study of sensor-look direction with respect to vegetation planted in rows. The two parameters σ_{\perp}° and $\sigma_{\parallel}^{\circ}$ represent the backscatter coefficient when the look direction is perpendicular and parallel to the rows, respectively. We see that when θ_i equals zero degrees, σ_{\perp}° and $\sigma_{\parallel}^{\circ}$ are equal. However, for θ_i greater than zero degrees, σ_{\perp}° is greater than $\sigma_{\parallel}^{\circ}$. The theoretical results agree only partically with the experimental results given in figure 18, which comes from Batlivala and Ulaby.

Figure 19 provides a study of backscatter coefficient versus layer thickness for two angles of incidence. For the θ_i equal to zero curve, the solution for σ° with a rough layer differs from the half-space solution by approximately 16dB (decibels) for a layer thickness of 0.5 meters. As the layer thickness is increased, the solution for σ° at θ_i equal to zero, approaches the half-space solution. The θ_i equal to 20° curve also approaches the half-space solution when the layer thickness is increased. In this case, σ° differs from the half-space solution by only about 3.5dB when the layer thickness is 0.5 meters.

Figure 20 presents a study of the skin depth of the mean wave versus incidence angle for three different frequencies. For a horizontally polarized wave, the skin depth is taken to be the reciprocal of p_1 , which was derived earlier as part of the solution to the Dyson's equation. It should be remembered that the mean wave decays for two reasons, absorption and scattering. For a frequency of 8.6 GHz, the skin depth goes from approximately 5 meters at θ_i equal to 0° down to 1 meter at θ_i equal to 80° . When the frequency is increased, the overall level of the curve is lowered considerably, but it does not drop off as fast with increasing incidence angle.

In figure 21, the solutions are compared for the half space, the plane layer, and the layer with a rough surface. For angles of incidence between 0° and 30° , the rough interface at the vegetation soil boundary can have a dramatic effect on σ° . In this case, it is clearly not sufficient to use a plane layer model.

⁹P.P. Batlivala and F.T. Ulaby, *The Effect of Look Direction on the Radar Return From a Row Crop*, National Aeronautics and Space Administration, Lyndon B. Johnson Space Center, Houston, Texas, RSL Technical Report 264-3, May 1975.

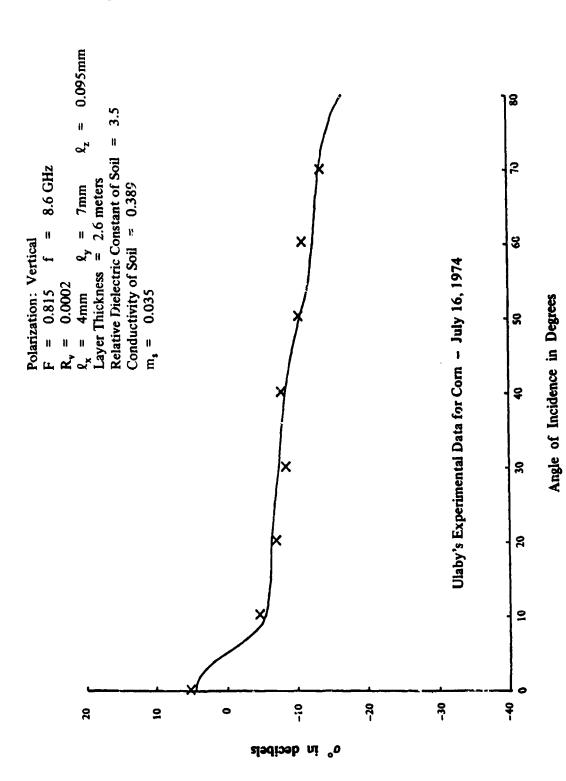


Figure 14. Comparison of Theory with Experimental Data.

 $= 0.095 \, \text{mm}$ = 3.0 Layer Thickness = 2.6 meters

Relative Dielectric Constant of Soil =

Conductivity of Soil = 0.278 $m_s = 0.035$ 8.6 GHz 3 Ulaby's Experimental Data for Corn - July 22, 1974 F = 0.734 $R_v = 0.0002$ 8 9 30 × 20 × 2 -10 -20 2 0 -30 2

Polarization: Vertical

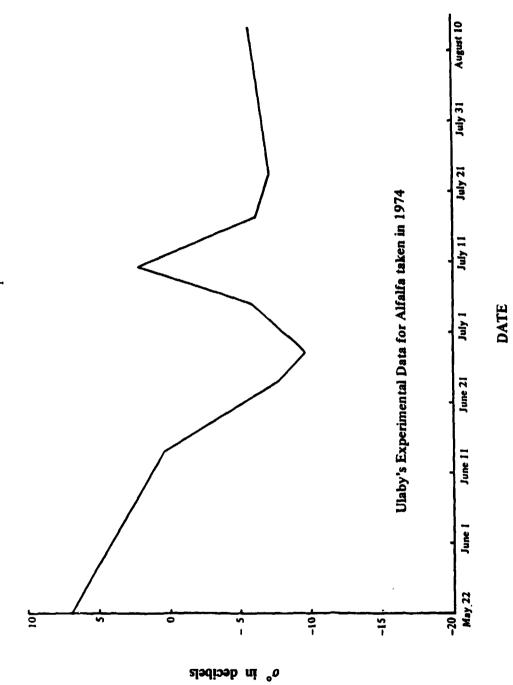
Figure 15. Comparison of Theory with Experimental Data.

Angle of Incidence in Degrees

o° in decibels

Polarization: Horizontal $\theta_i = 0^{\circ}$

2



s igure 16. Study of the Experimental Variations of the σ° for Alfaifa.

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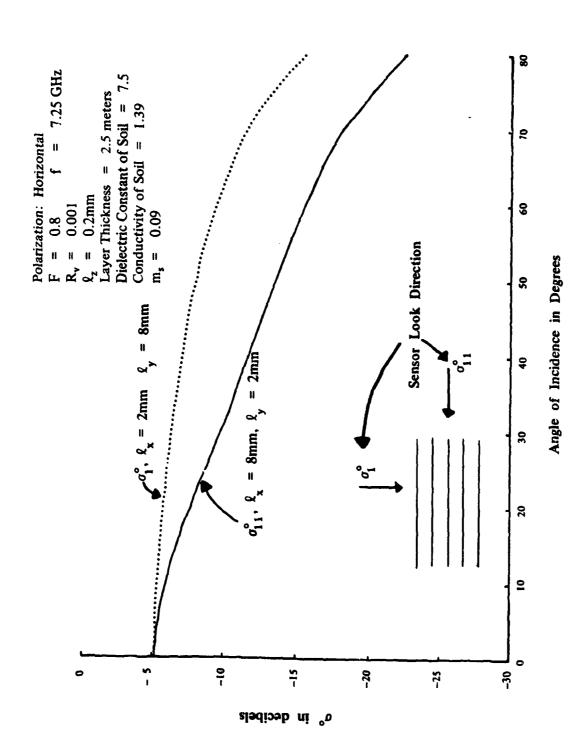
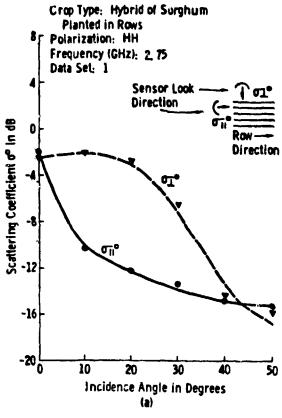
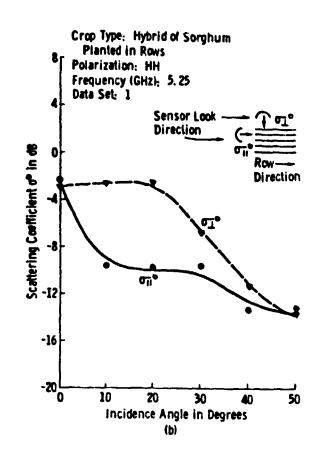
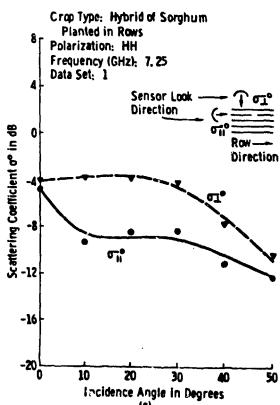


Figure 17. Study of the Sensor Look Direction.







SGURCE: P.P. Battivala and F.T. Ulaby, The Effect of Look
Direction on the Radar Return From a Row Crop,
National Aeronautics and Space Administration,
Lyndon B. Johnson Space Center, Houston, Texas
RSL Technical Report 264-3, May 1975.

Figure 18. Scattering Coefficient σ° as a Function of Incidence Angle at (a) 2.75GHz, (b) 5.25GHz, and (c) 7.25GHz. Data set \neq 1, July 16, 1974.

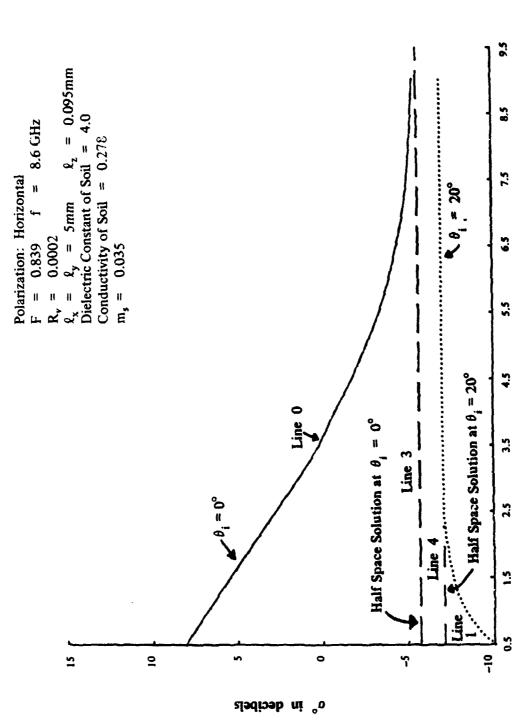


Figure 19. Study of the Variation of σ° with Layer Thickness.

Layer Thickness in Meters

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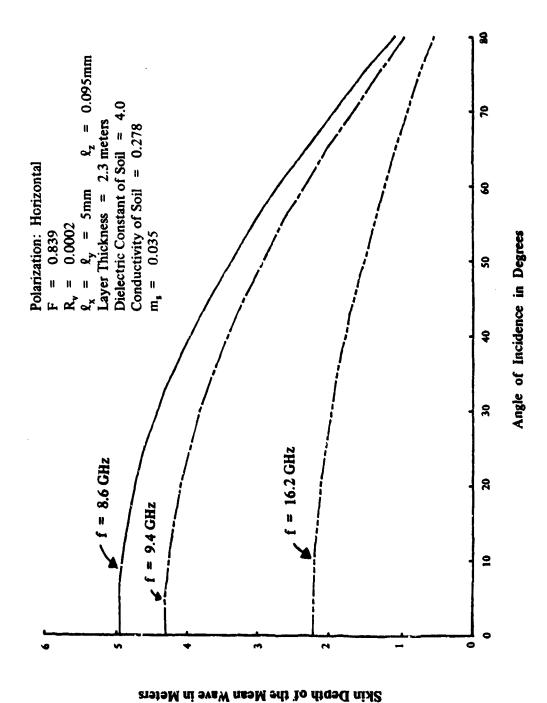


Figure 20. Study of the Skin Depth of the Mean Wave Versus Incidence Angle.

A A THE TOTAL

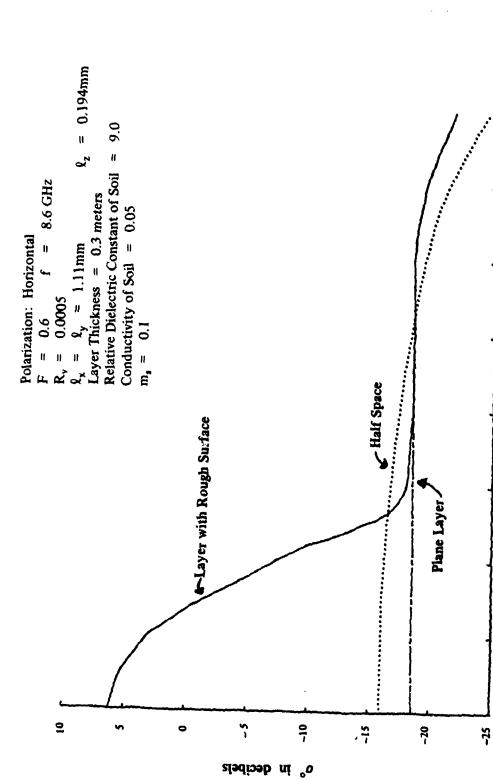


Figure 21. Comparison of Half Space, Plane Layer and Layer with Rough Surface Solutions.

Angle of Incidence in Degrees

In figures 22 through 30, a sensitivity-of-parameters study is presented. In this study, the input parameters are varied individually to determine the overall effect on the scattering coefficient. In figure 22, a study is provided of σ° variations with F (the vegetation moisture content). When θ_i is greater than 20°, the larger values of F produce higher levels of σ° . The shape of the σ° versus θ_i curve does not change much, but the overall level is significantly different. At approximately $\theta_i = 10^{\circ}$, a crossover of the curves exists such that the curve with the highest moisture content now yields the lowest value of σ° . The reason for the crossover is that two entirely different mechanisms are responsible for scattering. For θ_i greater than 20°, the volume-scattering mechanism dominates so that higher moisture in the vegetation results in larger backscatter values. However, for angles of incidence θ_i less than 10° when the mechanism for scattering is dominated by the rough surface under the vegetation, the higher moisture values result in lower σ° values. This is because the higher moisture values provide more attenuation of the mean wave, which means that less is available for scattering from the rough surface.

Figure 23 presents a study of σ° variations with the parameter R_{V} . The smaller value of R_{V} yields a larger σ° value for small angles of incidence. This is again because rough surface scattering dominates for small angles, and smaller values of R_{V} mean that more energy gets down to the surface. A crossover occurs at approximately $\theta_{i} = 15^{\circ}$ where the volume scattering result begins to dominate. Another crossover occurs between $\theta_{i} = 40^{\circ}$ and $\theta_{i} = 50^{\circ}$ such that for angles larger than 50°, σ° falls off faster for the larger value of R_{V} . The reason for this second crossover is possibly because that for the larger value of R_{V} , the lower interface between the vegetation and soil no longer provides a contribution for backscattering.

Figure 24 presents a study of σ° variations with L (the mean thickness of the vegetation layer). For small angles of incidence, the smaller value of L yields larger values of σ° . The rough surface below the vegetation is dominating the return and the smaller value of L provides a lower attenuation, thus making more energy available for scattering from the surface. A crossover point occurs around $\theta_1 = 12^{\circ}$, which indicates that volume scattering is now beginning to dominate and so the thicker layer will yield a larger value of σ° . Another crossover point occurs at approximately $\theta_1 = 67^{\circ}$. This crossover point possibly indicates that for L = 2 meters, the lower interface is having no influence, but for L = 0.5 meters, the lower interface still provides a contribution.

13.41

Relative Dielectric Constant of Soil = 8.2 Conductivity of Soil = 1.67 m₁ = 0.04 Polarization: Horizontal $R_y = 0.0002$ f = 8 GHz $\ell_x = \ell_y = 2$ mm $\ell_z = 0.02$ mm Layer Thickness = 1 meter

2

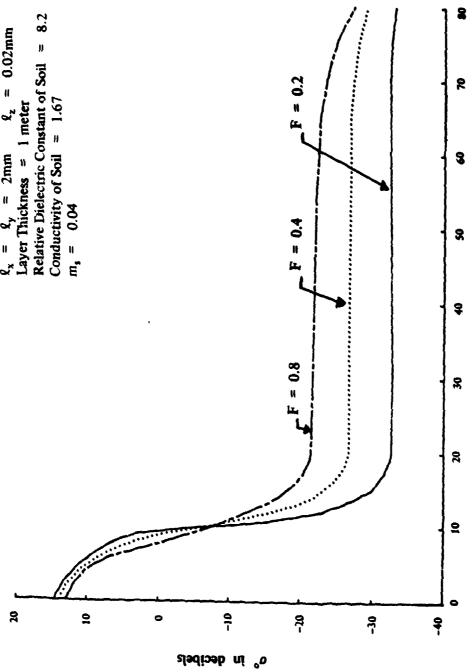
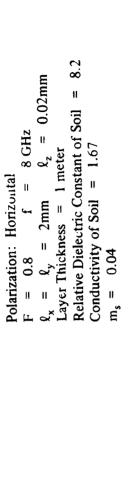


Figure 22. Study of o Variations with F.

Angle of Incidence in Degrees





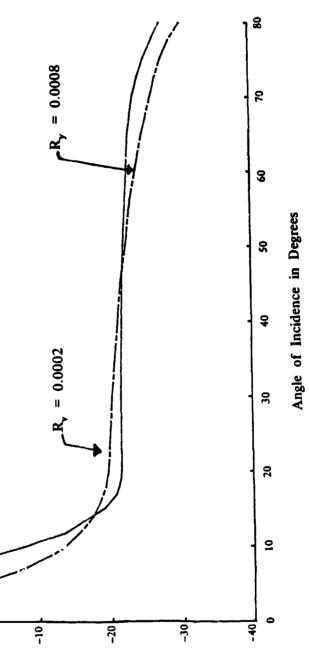


Figure 23. Study of σ° Variations with $R_{\rm v}$.

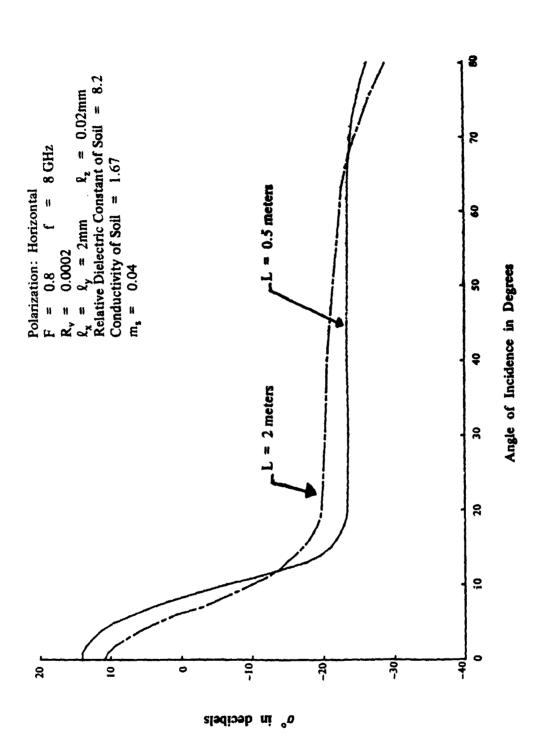


Figure 24. Study of σ° Variations with L.

Figure 25 shows a study of σ° variations with soil moisture. The soil moisture influences both the dielectric constant and the conductivity. The effect is shown of doubling the soil moisture underneath a 1-meter layer of vegetation. Thus, doubling the soil moisture content increases the level of σ° slightly over all angles of incidence.

In figure 26, a study is presented of σ° variations with frequency for two angles of incidence. We see only a slight frequency dependence at $\theta_i = 10^{\circ}$, because the rough surface scattering is independent of frequency except for the attenuation portion. At $\theta_i = 30^{\circ}$ where volume scattering is playing a more important role, we see a very significant frequency dependence.

In figure 27, σ° variations with the parameter m_s are shown. This parameter represents the ratio of the standard deviation of the rough surface undulations to the correlation distance. The most specular surface ($m_s = 0.04$) yields the highest value of σ° at $\theta_i = 0^{\circ}$. However, for this surface, σ° falls off very fast with increasing incidence angle so that at $\theta_i = 20^{\circ}$, the rough surface effect has disappeared. When m_s is allowed to increase, the value of σ° at $\theta_i = 0^{\circ}$ decreases. Also, as m_s increases, the rough surface influences σ° over a larger range of incidence angles.

In figure 28, σ° variations with ℓ_{x} are shown. Notice first that a change in ℓ_{x} yields virtually no influence upon σ° for angles of incidence less than 10°. For angles of incidence greater than 10°, the curve associated with the larger value of ℓ_{x} is higher until a crossover point is reached around $\theta_{i} = 48^{\circ}$. For angles of incidence greater than 48°, the curve associated with the larger value of ℓ_{x} falls off much faster than the curve associated with the smaller value of ℓ_{x} . Increasing the value of ℓ_{x} will then move the curve upward for angles of incidence less than about 50°, but will lower the curve for angles of incidence greater than about 50°.

In figure 29, σ° variations with ℓ_{y} are shown. Once again, notice that a change in ℓ_{y} has virtually no influence on σ° for angles less than 10°. For angles of incidence greater than or equal to 20°, an increase in ℓ_{y} results in an increase in σ° . Therefore, increasing ℓ_{y} simply increases the level of the curve for angles equal to and greater than 20°.

In figure 30, σ° variations with ℓ_z are shown. For angles of incidence less than 10° , changes in ℓ_z have no influence on σ° owing to the dominance of the rough surface. For angles of incidence greater than 20° , increasing the value of ℓ_z simply increases the overall level of the curve without changing the shape. It can be seen that the σ° curve is sensitive to slight changes in ℓ_z . A change in ℓ_z of only a fraction of a millimeter produces a significant change in σ° . This sensitivity may make any attempt to determine ℓ_z in a rigorous experimental manner very difficult.

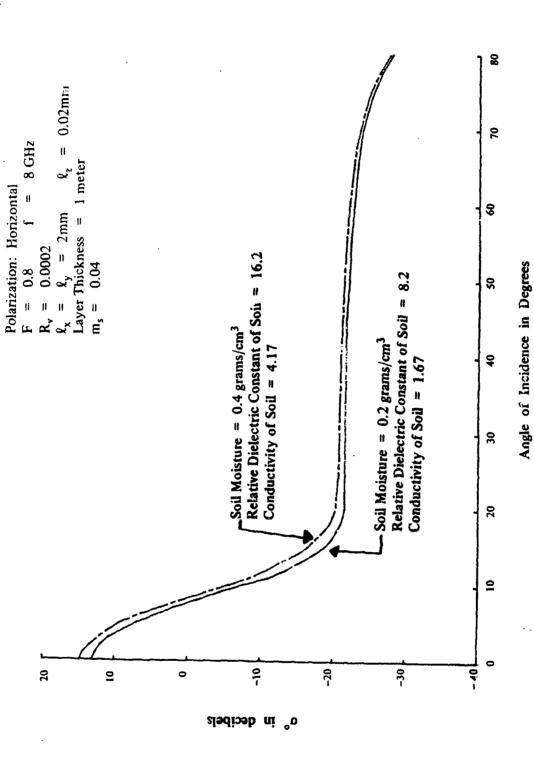


Figure 25. Study of 3° Variations with Soil Moisture.

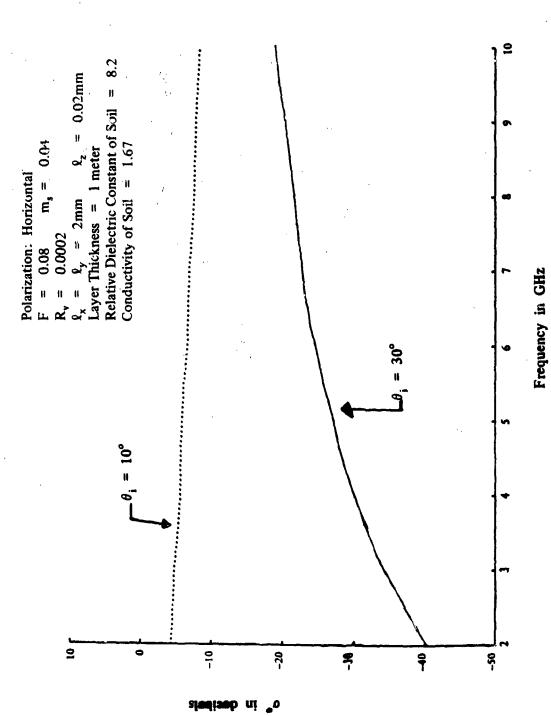


Figure 26. Study of o Variations with Frequency.

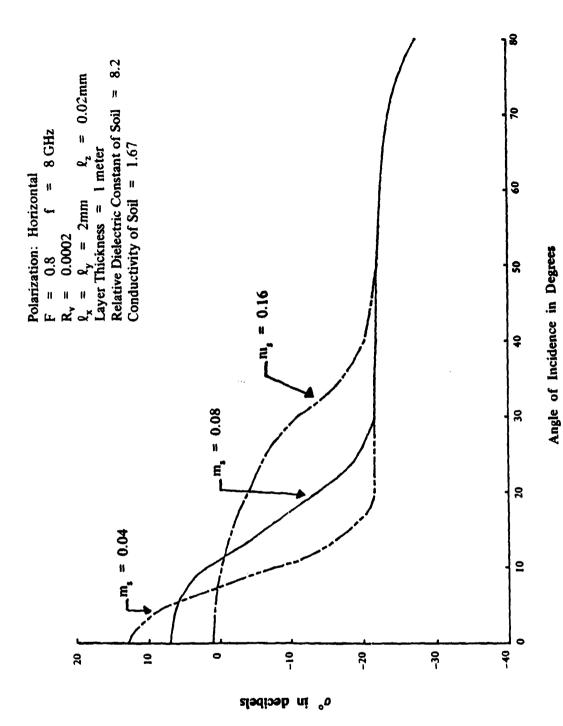
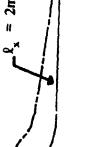


Figure 27. Study of o° Variations with m_s.

Polarization: Horizontal F = 0.8 f = 8 GHz $R_v = 0.0002$ $\ell_y = 2 mm$ $\ell_z = 0.02 mm$ Layer Thickness = 1 meter Relative Dielectric Constant of Soil = 8.2 Conductivity of Soil = 1.67 $m_s = 0.04$







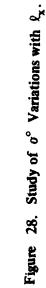
2,0

-30



2

20



Angle of Incidence in Degrees

71

o° in decibels

 $\ell_x = 2mm$ $\ell_z = 0.02mm$ Layer Thickness = 1 meter = 8 GHz Polarization: Horizontal F = 0.8 $R_y = 0.0002$ $\ell_x = 2mm$

20

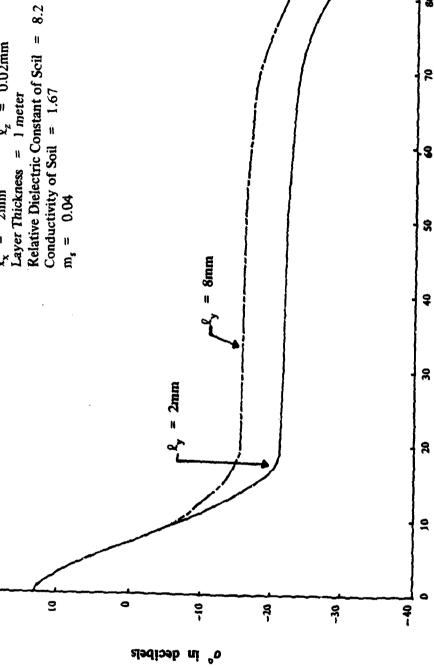


Figure 29. Study of 5° Variations with &

Angle of Incidence in Degrees

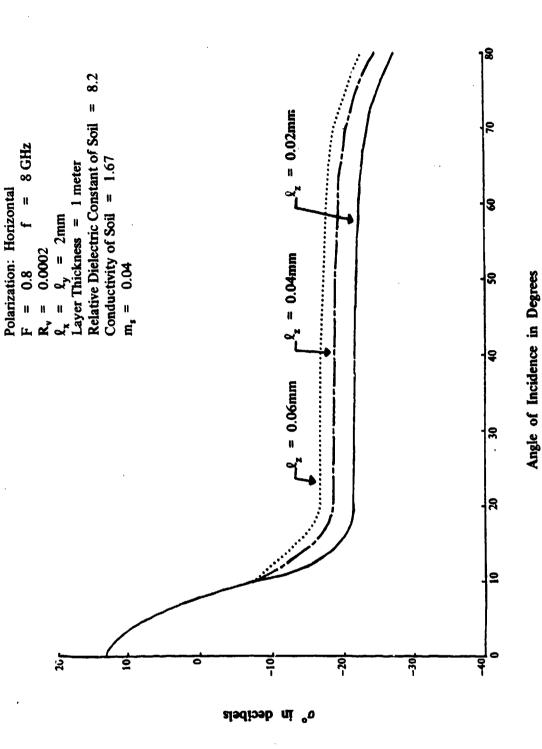


Figure 30. Study of σ° Variations with ℓ_z .

This section concludes with a brief discussion on the limitations and difficulties encountered in developing the theory presented in this report. It appears valid to simulate a region of vegetation with a continuous random medium, although it is not certain as to how well the first-order renormalization technique does in solving the problem. It is not clear how much multiple scattering is being considered, and it is not even clear as to how much multiple scattering must be considered. A free space dyadic Green's function was used in solving the Dyson's equation; however, what should have been used was a Green's function applicable to a layered problem. Such Green's functions are very complicated to develop and work with. Also, it is not clear how much the final result will change if a more complicated Green's function is used.

An equation for the radar backscatter coefficient σ° was developed by first obtaining plane wave solutions to the Dyson's equation. Expressions were found for the z component of the effective propagation constant for both horizontal and vertical polarizations. The mean wave was then used to calculate the scattered wave, which in turn was used to compute σ° . The final result for σ° indicated the necessity to develop a permittivity model that would relate some of the permittivity parameters in the scattering model to the physical parameters of the vegetation. This was accomplished with only limited success since a rather elementary permittivity model was used. The final result for σ° still contained five input parameters that were unknown. The five parameters are ℓ_x , ℓ_y , ℓ_z , ℓ_z , and ℓ_z .

Further work in this area should attempt to determine correlation functions and distances, as well as R_V and m_s to relate clearly theory and experiment. An anisotropic correlation function was used; however, this did not result in a depolarization term. The existance of depolarization is clearly evident from the experimental data. The reason for this depolarization is, as yet, unknown. A depolarization term could be obtained by computing the scattered field to a second-order approximation. It could also be obtained by initially allowing for an anisotropic random medium. At this time, it is unclear which approach is correct.

CONCLUSIONS

- 1. For certain types of vegetation, such as corn, the irregular vegetation soil boundary dominates the backscattering results for angles of incidence between 0° and 20°. Any remote sensing of surface phenomena beneath vegetation should be done in this augular range.
- 2. The effect of the rough surface boundary between the vegetation and soil increases with decreases in frequency, vegetation moisture content, vegetation volume, and layer thickness.
- 3. Increasing the soil moisture content increases the level of the σ° curve slightly.
- 4. Using different correlation distances in x and y does not completely explain the effect of look direction on scattering from a row crop.
- 5. The σ° curve versus incidence angle curve is sensitive to very slight changes in the correlation distance in z.
- 6. The predictability of the σ° curve is dependent on meteorological phenomena, such as rain.
- 7. The correlation distances and the vegetation volume ratio do not stay constant throughout the entire growth cycle of the corn crop. However, once the crop matured, these parameters remained fairly constant.
- 8. Because different correlation distances were required to match the experimental data for horizontal and vertical polarizations, a more correct model for the vegetation may be an anisotropic random media model.
- 9. The predictability of the σ° versus incidence angle curve depends upon a very detailed knowledge of the dielectric fluctuations of the vegetation and the surface roughness properties of the soil below. However, such knowledge for particular vegetation features does not exist at the present time. This detailed understanding should be obtained if theoretical models are to have ultimate usefulness in predicting scattering from vegetation features.

APPENDIX A. Definition of Terms Involved in Computing

$$, , ,$$

Repeating equation (67) produces

$$< A_y A_y^* > = \frac{4\ell_x \ell_y \ell_z A_i (\omega^2 \mu_o^2 \eta_2^2 + k_o^4 \eta_1^2) k_a^2 k_a^{*2} M_o M_o^*}{(1 + 4k_o^2 \ell_x^2 \sin^2 \theta_i)}$$

$$\cdot \sum_{n=1}^{16} \frac{A_n}{(c_n + d_n)} \left\{ \frac{1 - \ell_z d_n + \ell_z (c_n + d_n) e^{-L(c_n + 1/\ell_z)} - (1 + \ell_z c_n) e^{-L(c_n + d_n)}}{(1 + \ell_z c_n) (1 - \ell_z d_n)} \right.$$

$$+ \frac{1 - \ell_z c_n + \ell_z (c_n + d_n) e^{-L(\hat{c}_n + 1/\ell_z)} - e^{-L(c_n + d_n)} (1 + d_n \ell_z)}{(1 + \ell_z d_n) (1 - \ell_z c_n)}$$

The values for A_n , c_n , and d_n are defined below:

$$A_1 = \overline{a}_1 \overline{a}_1^* T_2 T_2^*$$

$$c_1 = D$$

$$d_1 = D_1^*$$

$$A_2 = \widetilde{a}_1 T_2 \widetilde{a}_1^* V_2^*$$

$$c_2 = D$$

$$c_2 = D_1 \qquad d_2 = D_2^*$$

$$A_3 = \overline{a}_1 T_2 T_2^*$$

$$c_3 = D$$

$$c_3 = D_1 \qquad d_3 = -D_2^*$$

$$A_4 = \widetilde{a}_1 T_2 V_2^*$$

$$c_4 = D_1$$

$$c_4 = D_1 \qquad d_4 = -D_1^*$$

$$A_5 = \widetilde{a}_1 V_2 a_1^* T_2^*$$

$$c_5 = D_2$$

$$c_5 = D_2 \qquad d_5 = D_1^*$$

$$A_6 = \widetilde{a}_1 \widetilde{a}_1^* V_2 V_2^*$$

$$c_6 = D$$

$$c_6 = D_2 \qquad d_6 = D_2^{\bullet}$$

$$A_7 = \widetilde{a}_1 V_2 T_2^*$$

$$c_7 = D$$

$$c_{7} = D_{2} \qquad d_{7} = -D_{2}^{\bullet}$$

$$A_{8} = \tilde{a}_{1}V_{2}V_{2}^{*} \qquad c_{8} = D_{2} \qquad d_{8} = D_{1}^{*}$$

$$A_{9} = \tilde{a}_{1}^{*}T_{2}T_{2}^{*} \qquad c_{9} = D_{2} \qquad d_{9} = D_{1}^{*}$$

$$A_{10} = \tilde{a}_{1}^{*}T_{2}V_{2}^{*} \qquad c_{10} = D_{2} \qquad d_{10} = D_{2}^{*}$$

$$A_{11} = T_{2}T_{2}^{*} \qquad c_{11} = D_{2} \qquad d_{11} = D_{2}^{*}$$

$$A_{12} = T_{2}V_{2}^{*} \qquad c_{12} = D_{2} \qquad d_{12} = D_{1}^{*}$$

$$A_{13} = V_{2}\tilde{a}_{1}^{*}T_{2}^{*} \qquad c_{13} = D_{1} \qquad d_{13} = D_{1}^{*}$$

$$A_{14} = \tilde{a}_{1}^{*}V_{2}V_{2}^{*} \qquad c_{14} = D_{1} \qquad d_{14} = D_{2}^{*}$$

$$A_{15} = V_{2}T_{2}^{*} \qquad c_{15} = D_{1} \qquad d_{15} = D_{2}^{*}$$

$$A_{16} = V_{2}V_{2}^{*} \qquad c_{16} = D_{1} \qquad d_{16} = D_{1}^{*}$$

A basic expression for $< A_x A_x^* >$ can be written as follows:

$$< A_x A_x^* > = \frac{4\ell_x \ell_y \ell_z A_1 (\omega^2 \mu_0^2 \eta_2^2 + k_0^4 \eta_1^2)}{(1 + 4k_0^2 \ell_x^2 \sin^2 \theta_1)}$$

$$\frac{\sum_{n=1}^{16} \frac{-\Lambda_{n}}{(\beta_{n} + \dot{\gamma}_{n})} \left\{ \frac{1 - \ell_{z} \gamma_{n} + \ell_{z} (\beta_{n} + \gamma_{n}) e^{-L(\beta_{n} + 1/\ell_{z})} - (1 + \ell_{z} \beta_{n}) e^{-L(\beta_{n} + \gamma_{n})}}{(1 + \ell_{z} \beta_{n}) (1 - \ell_{z} \gamma_{n})} + \frac{1 - \ell_{z} \beta_{n} + \ell_{z} (\beta_{n} + \gamma_{n}) e^{-L(\beta_{n} + \gamma_{n})} (1 + \gamma_{n} \ell_{z})}{(1 + \ell_{z} \gamma_{n}) (1 - \ell_{z} \beta_{n})} \right\}$$

Before giving the values for Λ_n , β_n , and γ_n , the following parameters are defined:

$$D'_{1} = p_{2} + jq_{2} - jk'_{z}$$

$$D'_{2} = -(p_{2} + jq_{2} + jk'_{z})$$

$$h_{1} = b_{6} [T_{1}(k_{a}^{2} - k_{o}^{2} \sin^{2}\theta_{i}) - T_{3}k_{o}k'_{z} \sin\theta_{i}]$$

$$h_{2} = b_{6} [V_{1}(k_{a}^{2} - k_{o}^{2} \sin^{2}\theta_{i}) - V_{3}k_{o}k'_{z} \sin\theta_{i}]$$

$$h_{3} = b_{5} [T_{1}(k_{a}^{2} - k_{o}^{2} \sin^{2}\theta_{i}) + T_{3}k_{o}k'_{z} \sin\theta_{i}]$$

$$h_{4} = b_{5} [V_{1}(k_{a}^{2} - k_{o}^{2} \sin^{2}\theta_{i}) + V_{3}k_{o}k'_{z} \sin\theta_{i}]$$

The values of Λ_n , β_n , and γ_n will now be written in terms of the above parameters:

 $D_{1}^{\prime \bullet }$

$$\Lambda_1 = h_1 h_1^*$$

$$\beta_1 = D_1'$$

$$\gamma_1$$

$$\Lambda_2 = h_1 h_2^*$$

$$\beta_2 = D_1'$$

$$\gamma_2$$

$$\beta_2 = \beta_1 \qquad \beta_2 = \beta_1 \qquad \gamma_2 = \beta_2^*$$

$$\Lambda_3 = h_1 h_3^* \qquad \beta_3 = D_1' \qquad \gamma_3 = D_2'^*$$

$$A_{-4} = h_1 h_4^*$$
 $\beta_4 = D_1'$ $\gamma_4 = D_1'^*$

$$-\Lambda_{-5} = h_2 h_1^* \qquad \beta_5 = D_2' \qquad \gamma_5 = D_1'^*$$

$$-\Lambda_7 = h_2 h_3^* \qquad \beta_7 = D_2' \qquad \gamma_7 = -D_2'^*$$

The basic equation for $< A_x A_y^* >$ can be written as

$$< A_{x}A_{y}^{*}> = \frac{-4\ell_{x}\ell_{y}\ell_{z}A_{l}(\omega^{2}\mu_{o}^{2}\eta_{2}^{2} + k_{o}^{4}\eta_{1}^{2}) k_{x}^{*2} M_{o}^{*}}{(1 + 4k_{o}^{2}\ell_{x}^{2} \sin^{2}\theta_{1})}$$

$$+ \frac{1 - \ell_{z}\nu_{n}}{(\nu_{n} + \rho_{n})} \left\{ \frac{1 - \ell_{z}\rho_{n} + \ell_{z}(\nu_{n} + \rho_{n})e^{-L(\nu_{n} + 1/\ell_{z})} - (1 + \ell_{z}\nu_{n})e^{-L(\nu_{n} + \rho_{n})}}{(1 + \ell_{z}\nu_{n}) (1 - \ell_{z}\rho_{n})} + \frac{1 - \ell_{z}\nu_{n}}{(1 + \ell_{z}\rho_{n}) (1 - \ell_{z}\rho_{n}) (1 - \ell_{z}\nu_{n})} \right\}$$

The values of P_n , ρ_n , and ν_n can be defined in terms of previous parameters.

$$P_1 = h_1 \widetilde{a}_1^* T_2^*$$

$$P_2 = h_1 \widetilde{a}_1^* V_2^*$$

$$P_3 = h_1 T_2^*$$

$$P_4 = h_1 V_2^*$$

$$P_5 = h_2 \widehat{a}_1^* T_2^*$$

$$P_6 = h_2 \widehat{a}_1^* V_2^*$$

$$P_7 = h_2 T_2^*$$

$$P_8 = h_2 V_2^*$$

$$P_9 = h_3 \widetilde{a}_1^* T_2^*$$

$$P_{10} = h_3 \tilde{a}_1^* V_2^*$$

$$P_{11} = h_3 T_2^{\bullet}$$

$$P_{12} = h_3 V_2^*$$

$$P_{13} = h_4 \tilde{a}_1^* T_2^*$$

$$P_{14} = h_4 \tilde{a}_1^* V_2^*$$

$$\nu_t = D$$

$$v_2 = D_1'$$

$$\nu_3 = D_1'$$

$$\nu_{A} \approx D_{1}'$$

$$v_5 = D'_5$$

$$v_6 = D$$

$$\nu_{\gamma} = D_2'$$

$$\nu_8 = D_2'$$

$$v_9 = -D_2'$$

$$\nu_{10} = -D_2'$$

$$\nu_{11} = -D_2'$$

$$v_{12} = -\dot{D}_2'$$

$$\nu_{13} = - \mathbb{P}_1'$$

$$\nu_{14} = -D_1'$$

$$\rho_1 = D_1$$

$$\rho_2 = D_2$$

$$\rho_3 = -D_2$$

$$\rho_A = -D_1^*$$

$$\rho_s = D_1^*$$

$$\rho_6 = D_2^*$$

$$\rho_2 = -D_2^*$$

$$\rho_8 = -D_1^*$$

$$\rho_{9} = D^{6}$$

$$\rho_{10} = D_2^*$$

$$\rho_{11} = -D_2^*$$

$$\rho_{12} = -D_1^{\bullet}$$

$$\rho_{13} = D_1^*$$

$$\rho_{14} = D_2^*$$

$$P_{15} = h_4 T_2^*$$
 $\nu_{15} = -D_1'$ $\rho_{15} = -D_2^*$ $P_{16} = h_4 V_2^*$ $\nu_{16} = -D_1'$ $\rho_{16} = -D_1^*$

Expressions for $\langle A_z A_z^* \rangle$, and $\langle A_x A_z^* \rangle$ can be obtained in terms of previous results.

$$< A_z A_z^* > = \frac{k_o^2 \sin^2 \theta_i}{k_{1z} k_{1z}^*} < A_x A_x^* >$$
 $< A_x A_z^* > = \frac{k_o \sin \theta_i}{k_{1z}^*} < A_x A_x^* >$

APPENDIX B.

Computer Programs for Calculating the Backscattering Coefficients

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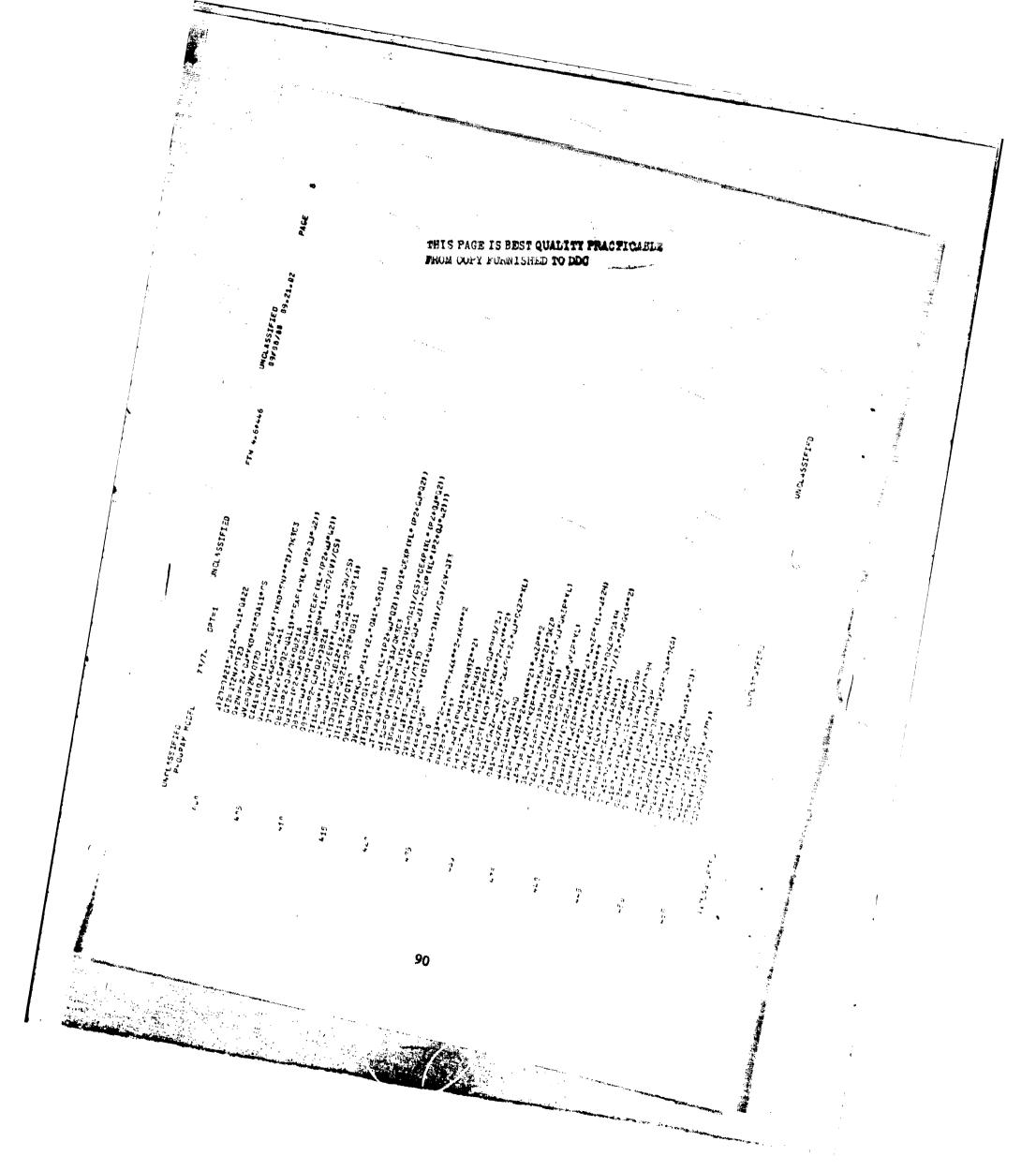
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(51=C4∠+03(172) 71=G4∠+03×3v(912) 1, (27) = -2 nm Je (002) 34 (8) = 442 * COV Je (0V2) 74.L(3)=-C0"US(301) 4.L(3)=LH-+C0NJS(3NJS)

GLJ(3)=GJNJG(JC3) 42(I4)=AHT*ESHJW(4NJ4)

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ALTERNATION OF PROVISIONAL MAINTENANT TIME

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SAGNATURE/SATE

LIST OF SYMBOLS

<u>t</u>	Position vector
€ (<u>f</u>)	Permittivity of the random medium
σ(L)	Conductivity of the random medium
€.	Average relative dielectric constant of the random medium
$\sigma_{\mathtt{a}}$	Average conductivity of the random medium
η_1	Standard deviation of the dielectric fluctuations
η_2	Standard deviation of the conductivity fluctuations
L	Mean thickness of the vegetation layer
k ₃	Complex propagation constant in the soil
$ heta_{\mathbf{i}}$	Angle of incidence
k _o	Free space propagation constant
$\underline{\underline{\Gamma}}(\underline{\mathfrak{l}},\underline{\mathfrak{l}}')$	Infinite space dyadic Green's function
$\langle \overline{E}(\overline{\tau}) \rangle$	Mean wave in the random medium
$G_{o}(\underline{t},\underline{t}')$	Infinite space scalar Green's function
$\bar{\mathbb{F}}^{i}(T)$	Scattered electric field in the random medium
δ(Ι-Ι')	Three-dimensional Dirac delta function equal to $\delta(x - x') \delta(y - y') \delta(z - z')$
<u>I</u>	Unit dyadic
$\frac{\mathbf{a}}{\mathbf{x}}$, $\frac{\mathbf{a}}{\mathbf{y}}$, $\frac{\mathbf{a}}{\mathbf{z}}$	Unit vectors in x, y, and z

k,

`х ' - "у

 δ_{ij}

 k_{ex}, k_{ey}, k_{ez}

k,

k,

 $\underline{G}_{s}(\underline{k}_{t},z)$

 $\boldsymbol{A_x(k_x,k_y)}, \boldsymbol{A_y(k_x,k_y)}, \boldsymbol{A_z(k_x,k_y)}$

 A_{I}

 ℓ_x , ℓ_y , ℓ_z

 $\sigma_{\rm H\;H\;\nu}^{\circ}$

σ° _{V V γ}

σ° HH:

σ° H H

Fourier transform variables

 $\sqrt{-1}$

Kronecker Delta

Componen's of the effective propagation constant

Value of kaz for horizontal polarization

Value of kez for vertical polarization

Two-dimensional Fourier transform of the scattered electric field in the random medium

Fourier transform of the amplitudes of the scattered electric field in air

Illuminated surface area

Correlation distances in x, y, and z, repectively

Backscatter coefficient for volume scattering and for the case of horizontal polarization transmit, horizontal polarization receive

Backscatter coefficient for volume scattering and for the case of vertical polarization transmit, vertical polarization receive

Backscatter coefficient for a randomly rough surface for the case of horizontal polarization transmit, horizontal polarization receive

Final backscatter coefficient result that includes both volume scattering and rough surface scattering for the case of horizontal polarization transmit, norizontal polarization receive

σ°

Final backscatter coefficient result that includes both volume scattering and rough surface scattering for the case of vertical polarization transmit, vertical polarization receive

F

Fraction of water by weight in the vegetation

 R_{v}

Volume of vegetation divided by the total volume

 m_s

Standard deviation of the rough surface fluctuations divided by the correlation distance

f

Frequency